

TEXAS A&M RESEARCH FOUNDATION

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INDEPENDENT AUDITORS' REPORT

FINANCIAL STATEMENTS

SUPPLEMENTAL INFORMATION

AND

COMPLIANCE REPORTS

AUGUST 31, 2011 AND 2010

TEXAS A&M RESEARCH FOUNDATION
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Ingram, Wallis & Co., P.C.

CERTIFIED PUBLIC ACCOUNTANTS

INDEPENDENT AUDITORS' REPORT

The Board of Trustees
Texas A&M Research Foundation
College Station, Texas

We have audited the accompanying statements of financial position of the Texas A&M Research Foundation (the "Foundation") as of August 31, 2011 and 2010, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and the significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Foundation as of August 31, 2011 and 2010, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with *Government Auditing Standards*, we have also issued our report dated November 18, 2011, on our consideration of the Foundation's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing,

and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audit.

Our audits were conducted for the purpose of forming an opinion on the financial statements taken as whole. The supplemental information on pages 21-25 is presented for purposes of additional analysis and is not a required part of the financial statements of the Foundation. The accompanying schedule of expenditures of federal and state awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and the *State of Texas Single Audit Circular*, issued by the Office of the Governor of the State, and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Bryan, Texas
November 18, 2011

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TEXAS A&M RESEARCH FOUNDATION
 Statements of Financial Position
 August 31, 2011 and 2010

	Unrestricted	Permanently Restricted	2011	2010
Assets				
Cash and cash equivalents	\$ 25,127,217	\$ -	\$ 25,127,217	\$ 26,393,538
Accounts and interest receivable, net	9,957,204	-	9,957,204	8,746,429
Unbilled receivable on research contracts in progress	7,602,422	-	7,602,422	7,688,859
Inventories and prepaid expenses	313,282	-	313,282	1,008,067
Office and technical equipment	44,680,452	-	44,680,452	42,279,794
Software	1,179,738	-	1,179,738	1,191,646
Buildings and land	1,969,897	-	1,969,897	1,969,897
Less accumulated amortization and depreciation	(34,146,499)	-	(34,146,499)	(32,753,861)
Investment in mutual funds	-	51,142	51,142	23,618
Investment in corporate stock	-	156,576	156,576	141,408
Other assets	84,123	-	84,123	84,582
Total assets	\$ 56,767,836	\$ 207,718	\$ 56,975,554	\$ 56,773,977
Liabilities and Net Assets				
Accounts payable	\$ 4,130,145	\$ -	\$ 4,130,145	\$ 4,802,396
Accrued liabilities	290,408	-	290,408	621
Deferred revenues	8,268,031	-	8,268,031	9,432,939
Due to A&M University, et.al.				
Accounts payable	1,271,143	-	1,271,143	1,510,638
Salary and wages	13,241,457	-	13,241,457	13,739,160
Indirect costs	7,420,410	-	7,420,410	7,720,408
Accrued vacation payable	230,621	-	230,621	593,929
Total liabilities	34,852,215	-	34,852,215	37,800,091
Net Assets				
Unrestricted	21,915,621	-	21,915,621	18,808,860
Permanently restricted	-	207,718	207,718	165,026
Total net assets	21,915,621	207,718	22,123,339	18,973,886
Total liabilities and net assets	\$ 56,767,836	\$ 207,718	\$ 56,975,554	\$ 56,773,977

The accompanying notes are an integral part of these financial statements.

TEXAS A&M RESEARCH FOUNDATION
Statements of Activities
For the Years Ended August 31, 2011 and 2010

	<u>2011</u>	<u>2010</u>
Unrestricted Net Assets		
Revenues and Gains		
Revenue for sponsored research	\$ 233,146,269	\$ 221,619,939
Other acquisitions	1,218,128	117,235
Investment income	490,333	278,345
Unrealized gain on investment	700,985	548,736
Proceeds from sale of real estate	3,600	479,976
Other revenue	<u>214,260</u>	<u>31,303</u>
Total Revenues and Gains	<u>235,773,575</u>	<u>223,075,534</u>
Expenses		
Supporting services	9,516,520	9,857,976
Sponsored research expense	218,526,237	207,115,789
Disposition of equipment	1,794,114	618,335
Disposition of patent	-	7,218
Depreciation and amortization expense	<u>2,829,943</u>	<u>2,589,825</u>
Total Expenses	<u>232,666,814</u>	<u>220,189,143</u>
Change in unrestricted net assets	<u>3,106,761</u>	<u>2,886,391</u>
Permanently Restricted Net Assets		
Donation	25,100	25,000
Investment income	2,028	285
Unrealized gain on investments	<u>15,564</u>	<u>7,837</u>
Change in permanently restricted net assets	<u>42,692</u>	<u>33,122</u>
Change in net assets	3,149,453	2,919,513
Net assets at beginning of year	<u>18,973,886</u>	<u>16,054,373</u>
Net assets at end of year	\$ <u>22,123,339</u>	\$ <u>18,973,886</u>

The accompanying notes are an integral part of these financial statements.

TEXAS A&M RESEARCH FOUNDATION
Statements of Cash Flows
For the Years Ended August 31, 2011 and 2010

	2011	2010
Cash flows from operating activities:		
Change in net assets	\$ 3,149,453	\$ 2,919,513
Adjustments to reconcile change in net assets to net cash provided for operating activities:		
Other acquisitions	(1,218,128)	(117,235)
Disposition of patent	-	7,218
Disposition of equipment	1,794,114	618,335
Unrealized (gain) loss on mutual funds	(396)	1,667
Unrealized (gain) loss on investment in corporate stock	(15,168)	(9,504)
Depreciation and amortization	2,829,943	2,589,825
Net change in accounts and interest receivable	(1,210,775)	(1,160,506)
Net change in unbilled receivable on research accounts	86,437	4,523,397
Net change in inventories and prepaid expenses	694,785	(239,778)
Net change in other assets	459	(755)
Net change in deferred revenue	(1,164,908)	137,616
Net change in accounts payable	(672,251)	1,207,700
Net change in accrued liabilities	289,787	(123,641)
Net change in due to TAMUS	(1,037,196)	2,564,425
Net change in accrued vacation	(363,308)	24,619
Net cash provided for operating activities	3,162,848	12,942,896
Cash flows from investing activities:		
Purchase of property, plant, and equipment	(4,402,041)	(4,812,864)
Purchase of mutual funds	(27,128)	(25,285)
Net cash used by investing activities	(4,429,169)	(4,838,149)
Net change in cash and cash equivalents	(1,266,321)	8,104,747
Cash and cash equivalents at beginning of year	26,393,538	18,288,791
Cash and cash equivalents at end of year	\$ 25,127,217	\$ 26,393,538

The accompanying notes are an integral part of these financial statements.

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS
AUGUST 31, 2011 and 2010

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

Organization

The Texas A&M Research Foundation (Foundation) is a non-profit scientific research corporation organized under the laws of the State of Texas and is exempt from Federal income tax under Section 501(C)(3) of the Internal Revenue Code (Code). In addition, the Foundation qualifies for the charitable contribution deduction under Section 170(b)(1)(A) of the Code.

Financial Statements

The financial statements of the Foundation have been prepared on the accrual basis of accounting and report information regarding its financial position and activities according to three classes of net assets: unrestricted, temporarily restricted, and permanently restricted. In addition, the Foundation is required to present a statement of cash flows.

Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

Cash and Cash Equivalents

For purposes of the statement of cash flows, the Foundation considers all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

Investments

Investments in equity securities with readily determinable fair values and all investments in debt securities are recorded at fair value.

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES – CONTINUED:

Compensated Absences

The Foundation accrues a liability for vested vacation time which employees are entitled to receive upon request or termination of employment.

Contributions

The Foundation reports gifts of cash and other assets as restricted if they are received with donor restrictions that limit the use of the donated assets. The Foundation has a restricted endowment that can be used for the benefit of the Foundation.

During fiscal year 2010, the Foundation received \$25,000 for the initial funding of the Tina and Paul Gardner Reveille VII Research Fund. During fiscal year 2011, the Foundation received donations amounting to \$25,100. The fund total now exceeds \$50,000. Therefore, the income earnings will be distributed to the Texas A&M College of Veterinary Medicine and Biomedical Sciences to be used exclusively for supporting proposed or on-going research projects by interns and Medicine Section residents. The donor requires the corpus to stay intact and capital gains to be added to the corpus.

Concentration of Credit Risk

A significant amount of the Foundation's receivables are due from the Federal government and agencies thereof. Credit risk is based on the good standing of the Federal government.

Property, Plant and Equipment

The Foundation follows the practice of capitalizing all expenditures for property, furniture, fixtures and office equipment in excess of \$5,000. The basis of valuation of purchased items is cost and of contributed items is fair value. Depreciation or amortization of all such items is computed on a straight-line basis over the estimated useful lives of the assets generally as follows:

Furniture and equipment	5-10	years
Vehicles	5	years
Buildings and improvements	20-31.5	years
Software	5-6	years

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 2 – CASH AND INVESTMENTS:

Substantially all cash, except for working cash accounts, is deposited with the Foundation's depository bank in interest bearing accounts or is invested. The Foundation's investment policy is established by management and is designed to maximize the generation of current investment income while maintaining a liquid position through short-term investments. The policy states that funds may currently be invested in:

Certificates of Deposit, with maturities not to exceed 24 months, issued by a State or National bank and insured by the FDIC, or its successor, or secured (collateralized) by surety bond, or pledged securities. Collateral held by a third party will have a market value of not less than the principal amounts of the certificates.

Repurchase Agreements, with maturities not to exceed 7 days, secured by U.S. Treasury Securities or other government agency securities. Collateral must be held by a third party. All agreements will be in compliance with Federal Reserve Bank guidelines.

Money Market Funds must be registered with the Securities and Exchange Commission and be no-load funds. Funds may be invested or withdrawn daily. In addition, any money market fund utilized by the Foundation must meet the investment objectives listed above and may not contain in its portfolio investments in high risk investment instruments such as derivative products, venture capital, or futures contracts, etc.

Cash Concentration Pool offered by The Texas A&M University System. Investments in the Cash Concentration Pool will be limited to such investments as are eligible under The Texas A&M University System Investment Policy as adopted and amended from time to time by the Board of Regents of The Texas A&M University System.

Interest bearing bank accounts in federally insured savings and loan associations, and State and National banks. If the deposits exceed the amount insured by the Federal Savings and Loan Insurance Corporation, the Federal Deposit Insurance Corporation or their successors, pledged securities must be obtained. The pledged collateral must be held by a third party, and at all times will have a market value of not less than the principal amounts deposited.

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 2 – CASH AND INVESTMENTS – CONTINUED:

Financial instruments which potentially subject the Foundation to concentrations of credit risk consist primarily of cash and cash equivalents and investments. The Foundation has placed its cash and cash equivalents with high credit quality financial institutions and with The Texas A&M University System Treasury Office.

The Foundation's cash and cash equivalents do not represent a significant concentration of credit risk as the bank provides a surety bond for amounts in excess of FDIC coverage. Other cash and cash equivalents and investments do not represent a significant concentration of credit risk due to the diversification of the investments made by The Texas A&M University System Treasury Office and other financial institutions on behalf of the Foundation. The fair values of cash and cash equivalents approximate cost because of the immediate short term maturity of these financial instruments. Investments are stated at fair value.

The Foundation had the following cash equivalents and investments as of August 31, 2011:

	<u>Carrying Amount</u>	<u>Unrestricted Investment Return</u>	<u>Restricted Investment Return</u>
<u>Unrestricted:</u>			
Cash Concentration Pool	\$13,182,200		
Realized gain		\$467,288	
Unrealized gain		700,985	
Wells Fargo InvestAccount	1,328,531	680	
Merrill Lynch Government Fund	10,213,421	17,055	
Producers Cooperative		30	
<u>Permanently Restricted:</u>			
9600 Shares of General Electric Common Stock	156,576	5,280	
Unrealized gain			\$15,168
Tina & Paul Gardner Reveille VII Research Fund	51,142		
Investment income			2,028
Unrealized gain			396
		<hr/>	<hr/>
Total		<u>\$1,191,318</u>	<u>\$17,592</u>

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 2 – CASH AND INVESTMENTS – CONTINUED:

The Foundation had the following cash equivalents and investments as of August 31, 2010:

	<u>Carrying Amount</u>	<u>Unrestricted Investment Return</u>	<u>Restricted Investment Return</u>
<u>Unrestricted:</u>			
Cash Concentration Pool	\$12,024,443		
Realized gain		\$254,960	
Unrealized gain		548,736	
Wells Fargo InvestAccount	(11,853)	-	
Merrill Lynch Government Fund	13,996,994	19,467	
Producers Cooperative		78	
 <u>Permanently Restricted:</u>			
9600 Shares of General Electric Common Stock	141,408	3,840	
Unrealized gain			\$9,504
Tina & Paul Gardner Reveille VII Research Fund	23,618		
Investment income			285
Unrealized (loss)			(1,667)
		<hr/>	<hr/>
Total		<u>\$827,081</u>	<u>\$8,122</u>

NOTE 3 – FAIR VALUE MEASUREMENTS:

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Foundation has the ability to access.

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 3 – FAIR VALUE MEASUREMENTS – CONTINUED:

Level 2: Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at August 31, 2011 and 2010.

Common stocks, corporate bonds and U.S. government securities: Valued at the closing price reported on the active market on which the individual securities are traded.

Mutual funds: Valued at the net asset value (NAV) of shares held by the plan at year end.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Foundation believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Foundation's assets at fair value as of August 31, 2011 and 2010:

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 3 – FAIR VALUE MEASUREMENTS – CONTINUED:

The Foundation had the following assets at fair value as of August 31, 2011:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Mutual funds:				
Fixed income funds	\$ -	\$ 19,977	\$ -	\$ 19,977
Equities	-	31,165	-	31,165
Total mutual funds	-	51,142	-	51,142
Common stocks:				
Consumer	156,576	-	-	156,576
Total assets at fair value	<u>\$ 156,576</u>	<u>\$ 51,142</u>	<u>\$ -</u>	<u>\$ 207,718</u>

The Foundation had the following assets at fair value as of August 31, 2010:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Mutual funds:				
Fixed income funds	\$ -	\$ 10,806	\$ -	\$ 10,806
Equities	-	12,812	-	12,812
Total mutual funds	-	23,618	-	23,618
Common stocks:				
Consumer	141,408	-	-	141,408
Total assets at fair value	<u>\$ 141,408</u>	<u>\$ 23,618</u>	<u>\$ -</u>	<u>\$ 165,026</u>

NOTE 4 – RELATED PARTY TRANSACTIONS:

The Foundation's trustees, councilors, and members of the executive committee include individuals in positions of leadership within The Texas A&M University System (System) who serve as voting members. The System has designated the Foundation as one of the central entities through which System sponsored research grants/contracts are administered; and thus dollars are channeled through the Foundation on behalf of the System components.

The Foundation also operates as The Texas A&M University System Health Science Center Research Foundation and as Prairie View A&M University Research Foundation. These entities are unincorporated subsidiaries of the Foundation.

Texas Transportation Research Foundation, Texas A&M Research Foundation Services, Inc. and HSC Biz, Inc. are all wholly owned subsidiaries of the Foundation. The Foundation's

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 4 – RELATED PARTY TRANSACTIONS – CONTINUED:

financial statements have not been presented on a consolidated basis due to the overall immateriality of the activity of the subsidiaries.

In the following tables receipts constitute reimbursed expenses. Disbursements are comprised of salaries, direct costs and indirect cost reimbursements.

The following is a summary of transactions with the System and other related parties for the year ended August 31, 2011:

	<u>Accounts Receivable</u>	<u>Accounts Payable</u>	<u>Receipts</u>	<u>Disbursements</u>
Texas A&M University	\$59,748	\$11,817,568	\$ 240,535	\$ 48,542,209
Texas AgriLife Research	7,853	2,620,489	82,335	10,177,917
Texas AgriLife Extension Service	-	2,802	-	26,016
Texas Transportation Institute	8,844	2,026,103	64,576	9,651,216
Texas Engineering Experiment Station	16,472	93,116	338,401	773,618
Prairie View A&M University	83,320	445,370	592,853	1,641,390
Texas A&M University at Galveston	-	408,834	-	1,137,989
Texas A&M University at Kingsville	-	2,007	-	63,100
Texas A&M System Administrative and General Offices	232,946	34,181	510,897	474,915
Texas Veterinary Medical Diagnostic Laboratory	-	-	-	24
Texas A&M University Health Science Center	-	4,284,365	-	24,261,938
Texas A&M University at Texarkana	-	10,144	-	104,917
Tarleton State University	-	37,090	-	80,423
Texas A&M University at Commerce	-	24,490	-	180,574
Texas Engineering Extension Service	-	33,889	1,567	461,198
West Texas A&M University	-	2,288	-	81,937
Texas A&M International University	-	-	-	25,000
Electric Power Research Institute	-	4,839	-	-
Texas A&M University at Corpus Christi	-	85,435	-	185,710
	<u>\$409,183</u>	<u>\$21,933,010</u>	<u>\$1,831,164</u>	<u>\$97,870,091</u>

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 4 – RELATED PARTY TRANSACTIONS – CONTINUED:

The following is a summary of transactions with the System and other related parties for the year ended August 31, 2010:

	<u>Accounts Receivable</u>	<u>Accounts Payable</u>	<u>Receipts</u>	<u>Disbursements</u>
Texas A&M University	\$59,837	\$12,696,073	\$ 202,775	\$ 47,557,110
Texas AgriLife Research	6,374	2,217,945	78,837	8,396,620
Texas AgriLife Extension Service	-	2,203	-	21,475
Texas Transportation Institute	-	1,684,713	4,800	7,498,108
Texas Engineering				
Experiment Station	13,285	122,611	72,236	311,295
Prairie View A&M University	65,749	547,885	578,871	1,681,971
Texas A&M University at Galveston	-	461,028	-	1,063,147
Texas A&M University at Kingsville	-	7,795	-	62,715
Texas A&M System Administrative and General Offices	279,011	39,982	258,255	242,282
Texas Veterinary Medical Diagnostic Laboratory	-	-	-	10,082
Texas A&M University Health Science Center	-	4,991,845	-	22,064,969
Texas A&M University at Texarkana	-	14,914	-	95,175
Tarleton State University	-	41,399	-	103,201
Texas A&M University at Commerce	-	12,106	-	129,355
Texas Engineering Extension Service	-	118,767	5,080	308,617
West Texas A&M University	-	-	-	111,859
Electric Power Research Institute	-	4,839	-	-
Texas A&M University at Corpus Christi	-	6,101	-	109,788
	<u>\$424,256</u>	<u>\$22,970,206</u>	<u>\$1,200,854</u>	<u>\$89,767,769</u>

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 5 – EMPLOYEE BENEFITS:

On September 1, 1984, the Foundation adopted a tax sheltered annuity plan covering substantially all of its employees. Under the tax sheltered annuity plan an employee may contribute up to \$16,500 of his or her gross pay or an amount determined by the maximum exclusion allowance as defined by the Internal Revenue Service tax code, which defers the employee's Federal taxable income by the salary reduction amount. To participate in the retirement plan an employee must contribute a minimum of three percent of salary. The employer contributes two percent more than the employee up to a maximum employer contribution of eight percent. The Foundation's matching payments for the year totaled \$318,791 (\$303,878 in 2010). Excess voluntary contributions may be withdrawn only if the participant has attained age 59 ½, has separated from service, or is disabled or deceased. However, the employee's contribution matched by the Foundation may not be withdrawn until the employee terminates employment, retires, or is disabled or deceased. Participants are one-third vested after one year, two-thirds vested after two years and fully vested after three years. The non-vested portion of the Foundation's contribution is forfeited.

Thus far, the Foundation has elected to pay supplemental retirement benefits to two former employees not covered under the tax sheltered annuity plan. The voluntary payments are patterned after a state retirement system plan and payments to the former employees are periodically adjusted to reflect any changes in the state plan. The payments are made by transfers from the current fund. Payments to the former employees for the year totaled \$6,237 (\$8,163 in 2010). Benefits are payable for the life of each recipient. These payments do not constitute benefits payable from a qualified retirement plan.

NOTE 6 – BUILDINGS AND FACILITIES:

The Foundation owns a building and facilities located in College Station, Texas, which are used in the Geochemical and Environmental Research Group operations. Research projects are charged an off-campus indirect cost rate in addition to a direct charge for the use of this facility that is returned to building operations in the facilities account.

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 7 – LEASED FACILITIES:

In August 2007 the Foundation relocated from the campus of Texas A&M University to an office building located in College Station, Texas. For the period September 1, 2011 through August 31, 2012, the Foundation's monthly lease payment is \$22,500. The lease agreement expires in August 2012 with a final monthly lease payment of \$22,500.

The Foundation leases various pieces of document reproduction equipment with monthly payments totaling \$682 and leases expiring March 2013.

The Foundation leases a postage machine with quarterly payments totaling \$1,071 with the lease expiring July 2013.

The Foundation, on behalf of The Texas A&M University System Health Science Center, leases general office space in Bryan, Texas. The lease expires August 15, 2013. The quarterly rent is \$13,886.

The following is a combined schedule of minimum future lease payments required under these leases:

2012	\$338,586
2013	48,606
2014	-
2015	-
2016	-
	<u>\$387,192</u>

Rent expense for the year ended August 31, 2011 and 2010, amounted to \$340,537 and \$352,156 respectively.

NOTE 8 – COMMITMENTS AND CONTINGENCIES:

Certain costs billed to the U.S. Government are subject to audit and determination of allowance or disallowance in accordance with sponsor contract provisions. In management's opinion there would be an immaterial adverse effect as a result of any such audits.

At August 31, 2011, the Foundation had \$23,771,812 (\$21,599,578 in 2010) in sponsor owned fixed assets on its fixed asset detail records. Title to these assets remains with the sponsors and therefore the assets are not included in the Foundation's financial statements. The Foundation is responsible for maintaining accountability for these assets during the project's contract period. The assets are returned to the sponsor at the project's conclusion.

TEXAS A&M RESEARCH FOUNDATION
 NOTES TO FINANCIAL STATEMENTS – CONTINUED
 AUGUST 31, 2011 and 2010

NOTE 9 – INTEGRATED OCEAN DRILLING PROGRAM AND OCEAN DRILLING PROGRAM:

In May 2011, the final subcontract modification was issued for SODV. Its purpose was to close out the subcontract and to release all parties from any future claims.

The Integrated Ocean Drilling Program (IODP) expenditures during fiscal year 2011 were \$60 million.

NOTE 10 – DISPOSITION OF EQUIPMENT:

As a result of assets discarded, donated to Members of The Texas A&M University System, or transferred to other institutions, the Foundation’s disposition of equipment for fiscal year 2011 was \$1,794,114 compared to \$618,335 for fiscal year 2010.

	<u>FY11</u>	<u>FY10</u>
Discarded	\$ 36,874	\$ 158,228
Donated/transferred	<u>1,757,240</u>	<u>460,107</u>
Disposition of equipment	<u>\$ 1,794,114</u>	<u>\$ 618,335</u>

NOTE 11 – FEDERAL INCOME TAXES:

In December 2008, the Financial Accounting Standards Board issued FASB Staff Position (FSP) FIN 48-3, “Effective Date of FASB Interpretation No. 48 for Certain Non Public Enterprises.” FSP FIN 48-3 permits an entity within its scope to defer the effective date of FASB Interpretation 48, *Accounting for Uncertainty in Income Taxes (FASB Accounting Standards CodificationTM (ASC) 740, Income Taxes)*, to its annual financial statements for fiscal years beginning after December 15, 2008. The Foundation elected to defer the application of the uncertain tax provisions of ASC 740 for the year ending August 31, 2009. On September 1, 2009, the Foundation adopted the provisions of ASC 740, as amended by FASB in September 2009. The Foundation evaluates its uncertain tax positions using the provisions of ASC 450, *Contingencies*. Accordingly, a loss contingency is recognized when it is probable that a liability has been incurred as of the date of the financial statements and the amount of the loss can be reasonably estimated. The amount recognized is subject to estimate and management judgment with respect to the likely outcome of each uncertain tax position. The amount that is ultimately sustained for an individual uncertain tax provision or for all uncertain tax positions in the aggregate could differ from the amount recognized.

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 11 – FEDERAL INCOME TAXES - CONTINUED:

Interest and penalties associated with uncertain tax positions are recognized as components of federal income tax expense. As noted above, the Foundation is a tax-exempt non-profit organization; therefore, no provision or liability for federal income taxes has been included in the financial statements.

The Foundation believes that its tax position regarding its status as a tax-exempt organization will remain significantly unchanged within the next twelve months.

While the Foundation is not aware of any tax examinations being conducted, tax years 2008 – 2010 remain subject to examination by the United States Internal Revenue Service.

NOTE 12 – EVALUATION OF SUBSEQUENT EVENTS:

The Foundation has evaluated subsequent events through November 18, 2011, the date which the financial statements were available to be issued.

NOTE 13 – TRANSITION OF THE FOUNDATION:

In the Spring of 2011 The Texas A&M University System Board of Regents (The System) adopted a new structure for managing research administration within The System. The Board of Regents decided to consolidate research administration services and established The Texas A&M University System Office of Sponsored Research Services (OSRS) for this purpose. The consolidation of research administration services will have a significant impact on the future role of the Texas A&M Research Foundation (Foundation), although it will continue to operate as an independent 501(c)(3) organization. The focus of the change is to merge the operations of the Foundation into the overall operations of the OSRS. The Foundation's bylaws will be revised to reflect the impact of this organizational change.

Staff from System member offices and the Foundation will merge into a single office located at 400 Harvey Mitchell Parkway South, College Station, Texas. All but 19 Foundation employees will transition from Foundation employment to System employment. The remaining 19 employees are designated as "near retirement" and will remain Foundation employees. However, it is planned that the Foundation will not have any employees after August 31, 2016.

While most proposals will be submitted in the name of the System member, researchers will use the Foundation to submit proposals in the name of the Foundation when a nonprofit organization is needed. The Foundation will function more as a financial tool within OSRS. The Foundation will work with sponsors to transfer the current awards to the System

TEXAS A&M RESEARCH FOUNDATION
NOTES TO FINANCIAL STATEMENTS – CONTINUED
AUGUST 31, 2011 and 2010

NOTE 13 – TRANSITION OF THE FOUNDATION - CONTINUED:

members. It is estimated that it could take as long as three years to transition all of the research accounts currently administered by the Foundation to OSRS. Research expenditures will begin to decrease on the Foundation books as new awards are set up on the System member books.

The System expects the establishment of OSRS and the consolidation of research administration will enhance sponsored research services by applying best practices under a common management and training function housed at a central location. The full implementation is expected to reduce research administration cost at The System and position all System members for the growth of their research enterprises.

SUPPLEMENTAL INFORMATION

TEXAS A&M RESEARCH FOUNDATION
Supplemental Schedule of Combined Statement of Activities
For the Year Ended August 31, 2011

	Administrative	Service Facilities	Subtotal	Sponsored Research	Texas A&M University et al	Subtotal	Endowment	Property, Plant, and Equipment	Total
Support and revenue:									
Net revenue for sponsored research	\$ -	\$ -	\$ -	\$ 192,537,461	\$ -	\$ 192,537,461	\$ -	\$ -	\$ 192,537,461
Indirect costs:									
Charges on research projects	873,724	-	873,724	-	30,572,572	30,572,572	-	-	31,446,296
Administrative fees for services	7,782,009	-	7,782,009	-	-	-	-	-	7,782,009
Charges for services and facilities provided	635,434	339,188	974,622	-	-	974,622	-	-	974,622
Administrative cost allowance	405,881	-	405,881	-	-	-	-	-	405,881
Donation	-	-	-	-	-	-	25,100	-	25,100
Investment income	490,333	-	490,333	-	-	-	2,028	-	492,361
Unrealized gain on investments	700,983	-	700,983	-	-	-	15,564	-	716,549
Insurance proceeds	63,495	-	63,495	-	-	-	-	-	63,495
Proceeds from sale of real estate	3,600	-	3,600	-	-	-	-	-	3,600
Other	150,765	-	150,765	-	-	-	-	-	150,765
Total support and revenue	\$ 11,106,226	\$ 339,188	\$ 11,445,414	\$ 192,537,461	\$ 30,572,572	\$ 223,110,033	\$ 42,692	\$ -	\$ 234,598,139
Expenses:									
Research	-	-	-	157,088,256	-	157,088,256	-	-	157,088,256
Indirect	-	-	-	30,863,409	-	30,863,409	-	-	30,863,409
Supporting services	9,222,099	294,421	9,516,520	-	30,572,572	30,572,572	-	-	40,089,092
Depreciation and amortization	-	-	-	-	-	-	-	2,829,943	2,829,943
Total expenses and losses	\$ 9,222,099	\$ 294,421	\$ 9,516,520	\$ 187,953,665	\$ 30,572,572	\$ 218,526,237	\$ -	\$ 2,829,943	\$ 230,872,700
Excess (deficiency) of support and revenue over (under) expenses	1,884,127	44,767	1,928,894	4,583,796	-	4,583,796	42,692	(2,829,943)	3,725,439
Net assets at beginning of year	3,703,864	87,076	3,790,940	2,330,444	-	2,330,444	165,026	12,687,476	18,973,886
Transfers:									
Equipment acquisition from current funds	(42,762)	-	(42,762)	(4,359,279)	-	(4,359,279)	-	4,402,041	-
Other acquisitions	-	-	-	-	-	-	-	1,218,128	1,218,128
Disposition of equipment	-	-	-	-	-	-	-	(1,794,114)	(1,794,114)
Net assets at end of year	\$ 5,545,229	\$ 131,843	\$ 5,677,072	\$ 2,554,961	\$ -	\$ 2,554,961	\$ 207,718	\$ 13,683,588	\$ 22,123,339

TEXAS A&M RESEARCH FOUNDATION
Supplemental Schedule of Supporting Services
Unrestricted - Administration
For the Year Ended August 31, 2011

	General	Backlog	Reserve	Other	Total
Revenue and Gains:					
Fees for services	\$ 7,782,009	\$ -	\$ -	\$ -	\$ 7,782,009
Indirect costs charged on research projects	65,573	-	-	808,151	873,724
Charges for services and facilities provided	614,318	-	-	21,116	635,434
Administrative cost allowance	259,764	-	-	146,117	405,881
Investment income	-	28,435	-	461,898	490,333
Unrealized gain on investments	-	-	-	700,985	700,985
Insurance proceeds	-	-	-	63,495	63,495
Proceeds from sale of real estate	-	-	-	3,600	3,600
Other revenue	18,466	-	-	132,299	150,765
Total revenue	8,740,130	28,435	-	2,337,661	11,106,226
Expenses:					
Salaries	5,337,641	-	-	(233,264)	5,104,377
Payroll taxes and insurance	1,282,127	-	-	18,965	1,301,092
Retirement plan contributions	320,207	-	-	11,777	331,984
Computer costs	259,923	-	-	42,417	302,340
Printing and reproduction	8,184	-	-	-	8,184
Communications	134,225	-	-	-	134,225
Supplies	107,161	417	-	974	108,552
Rent	367,626	-	-	-	367,626
Travel-administrative	46,824	-	-	1,517	48,341
Professional fees	113,833	-	-	405,884	519,717
Other services	38,561	-	-	3,676	42,237
Maintenance and repairs	53,877	-	-	5,862	59,739
Insurance - general	196,921	-	-	-	196,921
Utilities	49,813	-	-	-	49,813
Shipping and postage	17,942	-	-	11	17,953
Project losses	-	-	23,059	-	23,059
Dues, subscriptions & training	46,629	-	-	670	47,299
Other	65,701	8,371	-	71,286	145,358
Interest expense	-	-	-	10,082	10,082
Amortization	47,777	-	-	(47,777)	-
Other research support	-	-	-	403,200	403,200
Total expenses	8,494,972	8,788	23,059	695,280	9,222,099
Change in net assets	245,158	19,647	(23,059)	1,642,381	1,884,127
Net assets at beginning of year	2,055,197	747,241	75,000	826,426	3,703,864
Transfers:					
Equipment acquisitions transferred to property, plant and equipment	-	-	-	(42,762)	(42,762)
Cash transfers	(48,342)	-	284,709	(236,367)	-
Net assets at end of year	\$ 2,252,013	\$ 766,888	\$ 336,650	\$ 2,189,678	\$ 5,545,229

TEXAS A&M RESEARCH FOUNDATION
 Supplemental Schedule of Supporting Services
 Unrestricted - Service Facilities
 For the Year Ended August 31, 2011

		<u>GERG Operations</u>
Revenue:		
Charges for services and facilities provided	\$	<u>339,188</u>
Total revenue		<u>339,188</u>
Expenses:		
Salaries and wages		83,100
Payroll taxes and insurance		24,972
Retirement contributions		5,791
Fuel		585
Stores and supplies		393
Repairs and replacements		29,011
Insurance		10,695
Communications		1,928
Other		6,051
Indirect costs		14,729
Utilities		<u>117,166</u>
Total expenses		<u>294,421</u>
Change in net assets		44,767
Net assets at beginning of year		<u>87,076</u>
Net assets at end of year	\$	<u><u>131,843</u></u>

TEXAS A&M RESEARCH FOUNDATION
 Permanently Restricted - Endowment
 Statement of Activity
 For the Year Ended August 31, 2011

	William B. Clayton Memorial	Tina and Paul Gardner Reveille VII Research Fund	Total
Donation	\$ -	\$ 25,100	\$ 25,100
Investment income	-	2,028	2,028
Unrealized gain on investments	15,168	396	15,564
Total revenue	15,168	27,524	42,692
Net assets at beginning of year	141,408	23,618	165,026
Net assets at end of year	\$ 156,576	\$ 51,142	\$ 207,718

TEXAS A&M RESEARCH FOUNDATION
Schedule of Changes in Property, Plant and Equipment
For the Year Ended August 31, 2011

Property, Plant and Equipment

	<u>August 31, 2010</u>	<u>Purchases</u>	<u>Deletions</u>	<u>Reclassifications</u>	<u>August 31, 2011</u>
Equipment	\$ 42,162,559	\$ 5,616,278	\$ 3,219,511	\$ 117,235	\$ 44,676,561
Software	1,191,646	-	11,908	-	1,179,738
Buildings and land	<u>1,969,897</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,969,897</u>
Total	45,324,102	5,616,278	3,231,419	117,235	47,826,196
Other acquisitions	<u>117,235</u>	<u>3,891</u>	<u>-</u>	<u>(117,235)</u>	<u>3,891</u>
	<u>\$ 45,441,337</u>	<u>\$ 5,620,169</u>	<u>\$ 3,231,419</u>	<u>\$ -</u>	<u>\$ 47,830,087</u>

Accumulated Amortization and Depreciation

	<u>August 31, 2010</u>	<u>Additions</u>	<u>Deletions</u>	<u>Reclassifications</u>	<u>August 31, 2011</u>
Equipment	\$ 30,589,786	\$ 2,667,106	\$ 1,435,916	\$ -	\$ 31,820,976
Software	924,217	121,085	1,389	-	1,043,913
Buildings and land	<u>1,239,858</u>	<u>41,752</u>	<u>-</u>	<u>-</u>	<u>1,281,610</u>
	<u>\$ 32,753,861</u>	<u>\$ 2,829,943</u>	<u>\$ 1,437,305</u>	<u>\$ -</u>	<u>\$ 34,146,499</u>

COMPLIANCE REPORTS



Ingram, Wallis & Co., P.C.

CERTIFIED PUBLIC ACCOUNTANTS

REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

The Board of Trustees
Texas A&M Research Foundation
College Station, Texas

We have audited the financial statements of the Texas A&M Research Foundation (the "Foundation") as of and for the year ended August 31, 2011, and have issued our report thereon dated November 18, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the Foundation's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Foundation's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Foundation's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Foundation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the board of trustees, audit committee, management, and federal and state awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Bryan, Texas
November 18, 2011

Dunham, Wallis, Company



Ingram, Wallis & Co., P.C.

CERTIFIED PUBLIC ACCOUNTANTS

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133 AND THE STATE OF TEXAS SINGLE AUDIT CIRCULAR

The Board of Trustees
Texas A&M Research Foundation
College Station, Texas

Compliance

We have audited the Texas A&M Research Foundation's (the "Foundation") compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* and the *State of Texas Single Audit Circular* that could have a direct and material effect on each of the Foundation's major federal and state programs for the year ended August 31, 2011. The Foundation's major federal and state programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to its major federal and state programs is the responsibility of the Foundation's management. Our responsibility is to express an opinion on the Foundation's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*; and the *State of Texas Single Audit Circular*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal or state program occurred. An audit includes examining, on a test basis, evidence about the Foundation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the Foundation's compliance with those requirements.

In our opinion, the Foundation complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal and state programs for the year ended August 31, 2011.

Internal Control Over Compliance

Management of the Foundation is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal and state programs. In planning and performing our audit, we considered the Foundation's internal control over compliance with the requirements that could have a direct and material effect on a major federal and state program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133 and the State of Texas Single Audit Circular, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Foundation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal or state program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal or state program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of the board of trustees, audit committee, management, and federal and state awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Bryan, Texas
November 18, 2011

A handwritten signature in cursive script that reads "Ingram, Wallis & Company". The signature is written in dark ink and is positioned to the right of the typed date.

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Federal Grantor/Pass Through Grantor/Program Title	CFDA Number	Identifying Number	Total Pass Through and Expenditure
<i>Research and Development Cluster</i>			
<i>Research and Development Cluster</i>			
<i>U.S. Department of Agriculture</i>			
<i>Direct Program:</i>			
Agricultural Research Basic and Applied Research	10.001		8,078.18
Plant and Animal Disease, Pest Control, and Animal Care	10.025		62,006.76
Grants for Agricultural Research-Special Research Grants	10.200		86,294.05
Grants for Agricultural Research-Competitive Research Grants	10.206		877,249.07
Food and Agricultural Sciences National Needs Graduate Fellowship Grants	10.210		221,037.83
1890 Institution Capacity Building Grants	10.216		175,527.50
Integrated Programs	10.303		42,538.94
International Science and Education Grants	10.305		68,572.74
Organic Agriculture Research and Extension Initiative	10.307		101,981.99
Specialty Crop Research Initiative	10.309		175,161.52
Agriculture and Food Research Initiative (AFRI)	10.310		981,972.75
Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers	10.443		60,505.21
Environmental Quality Incentives Program	10.912		23,375.42
Scientific Cooperation and Research	10.961		679.53
<i>Pass Through From:</i>			
Michigan State University			
Rosbreed: Enabling Marker Assisted Breeding in Rosaceae	10.000	61-4296H (2009-51181-05808)	19,063.64
Michigan State University			
Federal-State Marketing Improvement Program	10.156	RC064760TAMU	543.48
Kansas State University			
Grants for Agricultural Research-Special Research Grants	10.200	S11050	4,514.40
Michigan State University			
Grants for Agricultural Research-Competitive Research Grants	10.206	614262A (20083520518720)	25,654.93
University of Kentucky			
Grants for Agricultural Research-Competitive Research Grants	10.206	3048081100-07-291 (2007-35600-17829)	18,120.99
Prairie View A&M University			
1890 Institution Capacity Building Grants	10.216	10048	25,672.77
West Virginia State University			
1890 Institution Capacity Building Grants	10.216	CR-0645-0001(USDA 2010-38821-21476)	10,495.11
St. Edward's University			
Hispanic Serving Institutions Education Grants	10.223	1001151	571.49
Mississippi State University			
Research Innovation and Development Grants in Economic (RIDGE)	10.255	018000-321470-02	10,207.48
Colorado State University			
Integrated Programs	10.303	G-1420-5 (USDA 2009-51110-06067)	14,780.82
North Carolina State University			
Integrated Programs	10.303	2007-1634-27 (USDA 2007-51120-03919)	19,999.84
Oklahoma State University			
Integrated Programs	10.303	AB-5-67490-TAMU-RF	9,620.94
University of Illinois			
Specialty Crop Research Initiative	10.309	2010-03728-01	17,849.61
University of California-Davis			
Agriculture and Food Research Initiative (AFRI)	10.310	201015739-02	405.37
University of Delaware			
Agriculture and Food Research Initiative (AFRI)	10.310	25606 (2011-67003-30342)	10,330.42
University of Florida-Gainesville			
Agriculture and Food Research Initiative (AFRI)	10.310	UF11099 (USDA 2011-68002-30185)	38,508.20
University of Nebraska			
Agriculture and Food Research Initiative (AFRI)	10.310	25-6321-0212-003 (2011-67003-30206)	34,433.03
Virginia Polytechnic Institute and State University			

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Federal Grantor/Pass Through Grantor/Program Title	CFDA Number	Identifying Number	Total Pass Through and Expenditure
Research and Development Cluster			
Agriculture and Food Research Initiative (AFRI)	10.310	422198-19819	6,173.00
Total, U.S. Department of Agriculture			<u>3,151,927.01</u>
U.S. Department of Commerce			
Direct Program:			
Sea Grant Support	11.417		20,244.34
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program	11.427		126,511.56
Climate and Atmospheric Research	11.431		618,473.01
Marine Fisheries Initiative	11.433		43,086.59
Unallied Management Projects	11.454		197,033.49
Special Oceanic and Atmospheric Projects	11.460		179,468.48
Meteorologic and Hydrologic Modernization Development	11.467		35,194.78
Applied Meteorological Research	11.468		140,905.39
Coastal Services Center	11.473		1,046,085.73
Center for Sponsored Coastal Ocean Research-Coastal Ocean Program	11.478		119,392.15
Pass Through From:			
University of Texas-Austin			
South West Academy for Nanoelectronics (SWAN)	11.000	UTA06-824	30,327.19
Prince William Sound Oil Spill Recovery Institute (OSRI)			
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400	052304TAMRF	9,216.16
Pacific Shellfish Institute			
Sea Grant Support	11.417	030307	6,719.36
Gulf of Mexico Fishery Management Council			
Undersea Research	11.430	11032008	14,119.02
Undersea Research	11.430	12042009	62,600.76
University of Alaska			
Undersea Research	11.430	UAF10-0012, FP10573	37,172.11
British Petroleum			
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432	502681	13,314.09
University of Alaska			
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432	UAF09-0094	14,450.31
University of Wisconsin-Madison			
Environmental Sciences, Applications, Data, and Education	11.440	G073006	75,485.20
University of Maryland			
Unallied Management Projects	11.454	CA11-17	22,281.86
University of New Hampshire			
Cooperative Science and Education Program	11.455	11-079	49,343.31
Industrial Economics, Inc.			
Habitat Conservation	11.463	502131	31,625.70
University Corporation for Atmospheric Research			
Meteorologic and Hydrologic Modernization Development	11.467	Z10-83387	7,006.41
Atkins North America			
Coastal Services Center	11.473	100019824	6,828.70
Total, U.S. Department of Commerce			<u>2,906,885.70</u>
U.S. Department of Defense			
Direct Program:			
Discovery of Host-Based Therapeutics Targets for Biothreat Agents Using High-Throughput Screening of Mouse Embryonic Stem Cell	12.000	HDTRA1-10-C-0063	2,273,653.37
Discovery of Host-Based Therapeutics Targets for Biothreat Agents Using High-Throughput Screenings of Mouse Embryonic Stem Cell	12.000	HDTRA1-10-C-0063 P00001	714,883.95
Realistic Spin-FETS and Efficient Spin-Logic Architectures for Low Power Logic Computing	12.000	N00014-11-1-0672	40,093.24

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Research and Development Cluster			
University Affiliation for Principles of Military Preventative Medicine Program of Instruction - Option Year 1	12.000	W81K04-08-D0001 0002, 01	18,052.80
University Affiliation for Principles of Military Preventative Medicine Program of Instruction - Option Year 2	12.000	W81K04-08-D0001 0003, 01	26,380.65
University Affiliation for Principles of Military Preventative Medicine Program of Instruction - Option Year 3	12.000	W81K04-08-D0001 0004, 01	20,908.12
REQ - Army Research Laboratory	12.000	W911QX-10-C-0003	12,187.34
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 5	12.000	W913E5-09-C-0007	1,259.96
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 6	12.000	W913E5-10-C-0017	163,003.49
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 7	12.000	W913E5-11-C-0004	60,740.47
Aquatic Plant Control	12.100	W81XWH-07-1-0304	(21,342.42)
Basic and Applied Scientific Research	12.300	N00014-06-1-0069	97,056.65
Basic and Applied Scientific Research	12.300	N00014-08-1-0037	88,064.80
Basic and Applied Scientific Research	12.300	N00014-08-1-0467	116,119.54
Basic and Applied Scientific Research	12.300	N00014-08-1-1113	139,906.89
Basic and Applied Scientific Research	12.300	N00014-09-1-0107	164,006.62
Basic and Applied Scientific Research	12.300	N00014-09-1-0589	60,553.02
Basic and Applied Scientific Research	12.300	N00014-10-1-0527	145,106.41
Basic and Applied Scientific Research	12.300	N00014-11-1-0154	4,990.52
Basic and Applied Scientific Research	12.300	N00014-11-1-0712	933.50
Military Medical Research and Development	12.420	W81XWH-07-1-0244	738,515.95
Military Medical Research and Development	12.420	W81XWH-08-1-0475	112,841.03
Military Medical Research and Development	12.420	W81XWH-08-1-0559	7,504.16
Military Medical Research and Development	12.420	W81XWH-09-1-0188	30,156.59
Military Medical Research and Development	12.420	W81XWH-10-1-0075	230,027.26
Military Medical Research and Development	12.420	W81XWH-10-1-0147	198,438.41
Military Medical Research and Development	12.420	W81XWH-10-1-0255	552,237.99
Military Medical Research and Development	12.420	W81XWH-10-1-0283	102,186.19
Military Medical Research and Development	12.420	W81XWH-10-1-0612	29,853.85
Military Medical Research and Development	12.420	W81XWH-11-1-0158	18,828.67
Military Medical Research and Development	12.420	W81XWH-11-1-0194	14,768.26
Basic Scientific Research	12.431	W911NF-10-1-0087	284,186.47
Basic Scientific Research	12.431	W911NF-10-1-0170	143,106.00
Basic Scientific Research	12.431	W911NF-10-1-0193	27,261.82
Basic Scientific Research	12.431	W911NF-10-1-0455	664,006.90
ARRA: Basic, Applied, and Advanced Research in Science and Engineering	12.630	HM1582-08-1-0022	(3,516.00)
ARRA: Basic, Applied, and Advanced Research in Science and Engineering	12.630	W911NF-06-1-0507	25,729.13
Air Force Defense Research Sciences Program	12.800	FA9550-08-1-0090	96,034.08
Air Force Defense Research Sciences Program	12.800	FA9550-08-1-0118	88,183.22
Air Force Defense Research Sciences Program	12.800	FA9550-09-1-0424	68,903.48
Air Force Defense Research Sciences Program	12.800	FA9550-09-1-0500	74,762.38
Air Force Defense Research Sciences Program	12.800	FA9550-09-1-0637	101.71
Air Force Defense Research Sciences Program	12.800	FA9550-11-1-0223	5,327.84
Mathematical Sciences Grants Program	12.901	H98230-10-1-0215	10,776.07
Mathematical Sciences Grants Program	12.901	H98230-10-1-0215	3,598.35
Mathematical Sciences Grants Program	12.901	H98230-10-1-0225	15,449.80
Mathematical Sciences Grants Program	12.901	H98230-10-1-0245	12,700.00
Mathematical Sciences Grants Program	12.901	H98230-11-1-0130	24,371.75
Mathematical Sciences Grants Program	12.901	H98230-11-1-0167	22,290.90
Pass Through From:			
Marshall University Research Corporation			
Transportation Rates & Closure Response Research			
Calcasieu Lock	12.000	MURC 210145, P1000933	67,276.60
Science Applications International Corporation			

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Research and Development Cluster			
Mentor Protege Program for the NGA Small Business Program Office	12.000	111010	7,331.00
Universal Technology Corporation High Heat Flux Removal (HHER) Systems for Aerodynamic and Aerospace Thermal Management	12.000	08-S590-0004-02-C1	81,942.60
Peaking Factor (PF) Correlation Development & Helical Wire Insert Enhancement for Thermal Management	12.000	11-S590-0004-02-C19	31,661.08
University of Oregon Procurement Technical Assistance for Business Firms	12.002	271251A	11,020.58
University of Texas-Auslin Basic and Applied Scientific Research	12.300	UTA09-000726 (N00014-09-1-1054)	152,126.17
University of Texas-Health Science Center-San Antonio Military Medical Research and Development	12.420	127561 126994	121,089.56
Kansas State University Basic Scientific Research	12.431	S08020	556,137.55
University of California-Merced Basic Scientific Research	12.431	E252GNA21500	39,712.78
University of South Carolina Basic Scientific Research	12.431	07-1410, 13060 FA35	36,941.41
Institute of International Education National Security Education Program David L. Boren Scholarships	12.551	U634003	31,283.08
Institute of International Education Basic, Applied, and Advanced Research in Science and Engineering	12.630	2009-ROTC-U634007-1-TAMU	253,807.45
Total, U.S. Department of Defense			<u>9,115,521.04</u>
U.S. Department of Housing and Urban Development			
Pass Through From:			
Beaumont Housing Authority, City of Demolition and Revitalization of Severely Distressed Public Housing	14.866	01012007-04152011	30,047.09
Total, U.S. Department of Housing and Urban Development			<u>30,047.09</u>
U.S. Department of Interior			
Direct Program:			
B-Laboratory Analysis of Animal Tissue, Oil and Sediment Samples for Residue of Inorganic Contaminants	15.000	982107D004	55,663.10
U.S. Fish and Wildlife Service Analysis of Environmental Materials for Organic Contaminants	15.000	982108D105	261,383.12
Coastal Erosion Post Hurricane Katrina Rapid Assessment Minerals Management Service (MMS) Environmental Studies Program (ESP)	15.423	P5320090057	5,585.13
Water Conservation Field Services Program (WCFSP)	15.530		3,288.86
U.S. Geological Survey - Research and Data Collection	15.808		8,228.42
Pass Through From:			
Florida International University Impacts of Increased Freshwater Flow and Nutrient Input on Benthic Community Structure and Trophic Interactions	15.000	205001597-01	10,812.99
Science Applications International Corporation Water Sample Analysis	15.000	P010050352	89,792.04
Texas Parks & Wildlife Coastal Impact Assistance Program (CIAP)	15.426	411172	10,199.56
Total, U.S. Department of Interior			<u>448,180.71</u>
U.S. Department of Justice			
Direct Program:			
Part E - Developing, Testing and Demonstrating Promising New Programs	16.541		60,802.44

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Research and Development Cluster			
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560		45,550.94
Pass Through From:			
University of Texas-Austin ARRA: Violence Against Women Formula Grants	16.588	UTA10-000853(DOJEF-09-V30-23043-01)	133,172.89
Total, U.S. Department of Justice			<u>239,526.27</u>
U.S. Department of State			
Direct Program:			
RDT&E of Vehicle Anti-Ram Barriers	19.000	S-DSASD-10-CA-201	817,539.57
Pass Through From:			
Belo Horizonte's Traffic & Transportation Company Develop a Feasibility Study for an Integrated Traffic Information Management System (ITIMS) for Belo Horizonte Traffic	19.000	1893	212,231.20
University of Nebraska Breeding Sorghum for Improved Resistance to Biotic and Abiotic Stresses and Enhanced End-Use Characteristics for Southern Africa	19.000	25-6805-0016-906 (TAM-102)	125,653.57
Wilbur Smith Associates Mexico Master Plan for Multimodal Corridors Project	19.000	03022007	174.98
Total, U.S. Department of State			<u>1,155,599.32</u>
U.S. Department of Transportation			
Direct Program:			
Performance Criteria for Retroreflective Sheeting Used for Traffic Control Signs	20.000	DTFH61-06-C-00033	27,453.05
Transportation Planning Analysis Technology Transfer and Program Support for the Travel Model Improvement Program	20.000	DTFH61-06-C-00047	346,032.70
Modeling of Hot Mix Asphalt (HMA) Compaction	20.000	DTFH61-07-C-00053	45,267.63
BAA FHWA 2008 Transportation Planning Cooperative Research--Full Proposal Congestion Management	20.000	DTFH61-08-C-00025	31,406.70
Field Evaluation of the Effectiveness of Delection-Control System (D-CS)	20.000	DTFH61-08-C-00033	149.39
High-Performance Stress-Relaxing Cementitious Composites for Crack-Free Pavements and Transportation Structures	20.000	DTFH61-08-H-00004	8,148.62
CFL-Development of FLH 3R Safety Guidelines	20.000	DTFH68-09-E-00042	103.76
Development of Minimum Roadway Guidance Information	20.000	DTFH68-09-E-00105	81,529.39
Develop a Turn-Key System for Remote Traffic Monitoring for Federal Land Management Agencies	20.000	DTFH70-10-E-00020	77,131.58
Develop Traffic Counting/Monitoring Training for Federal Land Management Agencies	20.000	DTFH70-10-E-00021	43,188.77
Deployment and Evaluation of the Teens in the Driver Seat, Phase 2	20.000	DTNH22-08-H-00209	6,879.33
Transportation Economics Center First Year Tasks	20.000	DTOS59-10-D-00504	42,745.15
Developing Safety Education Material for CMV Drivers and Pedalcyclists to Reduce CMV-Bicycle Crashes	20.000	MH10480-A&MU0000000	62,868.78
Public Transportation Research	20.514		37,043.03
University Transportation Centers Program	20.701		4,010,982.31
Pass Through From:			
Alaska Department of Transportation and Public Facilities Evaluating Pavement Marking Visibility	20.000	RES-11-001, 63399	32,216.58
American Road & Transportation Builders Association National Work Zone Safety Information Clearinghouse	20.000	03192007	150,278.84
Applied Research Associates, Inc. Work Plan for 2007 TOPR No. 6 - Task 2.2 - Highways for Life Projects	20.000	L00028.09003	41,225.89
Battelle Memorial Institute Motivations for Speeding	20.000	223724	13,889.02

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Research and Development Cluster			
International Border Crossing Electronic Screening System for Trucks and Buses	20.000	478880, 7222011	12,763.58
Measuring Border Delay and Crossing Times at the US/Mexico Border	20.000	600112-14	159,673.55
UPA Evaluation	20.000	600112-15	121,803.45
Managed Lanes Domestic Scan RFTP	20.000	600112-2	4,491.65
Tools for HOV to HOT Benefit Analysis	20.000	600112-20	3,575.60
High Occupancy Toll (HOT) Facilities Outreach and Marketing Support	20.000	600112-21	9,783.62
Clarus Multi-State Regional Demonstration Evaluation	20.000	600112-23	57,717.43
Urban Congestion Report Program	20.000	600112-26	74,772.07
Congestion Pricing and High Occupancy Toll Lanes Program Support and Technical Assistance	20.000	600112-27	16,624.07
Development in Weather Responsive Traffic Management (WRTM) Strategies	20.000	600112-29	43,246.61
Traffic Incident Management (TIM) Incident-Performance Metric Adoption Campaign	20.000	600112-30	82,183.05
Framework for Travel Demand Management in the Transportation Planning Process	20.000	600112-31	7,454.52
Support to FHWA in the Development of Congestion Pricing Marketing Material Transportation Planning Process	20.000	600112-32	61,672.16
Rural Safety Innovation Program Evaluation	20.000	600112-34	7,641.19
Support to FHWA for Developing a White Paper on How Operations Supports Livability, Sustainability, and Climate Change Goals	20.000	600112-35	11,357.10
Synthesis of Congestion Pricing Data	20.000	600112-36	170.65
Private Sector Data for Performance Management	20.000	600112-37	107,055.77
Integration of Weigh-in-Motion Technology into NIST's Handbook #44	20.000	600112-38	37,445.89
Bottleneck Initiative - Unique Challenges Overcome	20.000	600112-39	7,832.75
Support to FHWA in the Development of the Active Transportation and Demand Management (ATDM) Program	20.000	600112-40	66,063.44
Border-Wide Assessment of Intelligent Transportation Systems (ITS) Technology-Current and Future Concepts	20.000	600112-41	116,886.07
Technology Transfer Options Analysis for ITS Program	20.000	600112-42	23,958.63
Exploratory Research on Technology Options for Collection of Road User Fees	20.000	600112-43	146,685.60
Signal Phase and Timing & Related Messages for IntelliDrive SM Applications	20.000	600112-44	122,900.06
Impacts of Exempted Vehicles on Managed Lanes	20.000	600112-45	580.54
WZ Performance Measures Pilot Test	20.000	600112-8	22,149.73
Impact Testing of Simulated Hydrogen-Fueled Vehicles-Task 1	20.000	600983-1	147,382.65
Evaluation of Pedestrians Safety Engineering Countermeasures at Urban and Suburban Midblock Crossing Locations	20.000	601119-1	45,555.34
Improving Signing and Markings at Complex Interchanges	20.000	601119-2	76,263.22
Cambridge Systematics			
Long-Distance and Rural Travel Transferable Parameters for Statewide Travel Forecasting	20.000	08488-001	10,757.28
Stormwater Treatment with Vegetated Buffer	20.000	7308, 053	(7,570.77)
Assessing Alternative Methods for Measuring Regional Mobility in Metropolitan Regions	20.000	7315, TO 102	11,374.82
Mining Recovery Act Jobs Data for Opportunities to Improve the State-of-the-Practice for Overall Economic Impact and Performance	20.000	7315, TO 103	1,995.63
Traffic Analysis Framework - Developing Traffic Data Collection Format and Procedures	20.000	7677 TO131	5,147.22
Incorporating Reliability Performance Measures into the Transportation Planning and Programming Processes	20.000	8353-001	30,260.26

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Research and Development Cluster			
CH2M-Hill Criteria and Tools for Sustainable Highways	20.000	939107 404172AASUTT	576.24
Data Nexus, Inc. An Investigation of Teen Driver Parental Involvement Programs in the U.S.	20.000	DTNH22-09-D-00134/TO0001	6,704.00
Economic Development Research Group Interaction Between Transportation Capacity, Economic Systems, and Land Use and Integrating Economic Considerations into Project Development	20.000	SHRP2 C03	8,335.73
Development of Improved Economic Analysis Tools Based on Recommendations from Project CO3	20.000	SHRP2 C11	14,324.41
Gresham, Smith and Partners Applying Intelligent Transportation Systems to Improve Airport Traveler Access Information	20.000	ACRP A10-08	51,257.34
Halcrow, Inc. Identification and Evaluation of Freight Demand Factors	20.000	CLUABP	1,144.82
Institute of Transportation Engineers Task IT42-020-Traffic Signal Operations Resource Development and Self Assessment/Traffic Signal Report Card	20.000	ITE-00-21.10 TO IT42-020	14,732.46
Context Sensitive Solutions Designing for Major Urban Thoroughfares for Walkable Communities	20.000	ITE-00-21.11	23,799.95
ITS Standards Training Year 1 Module Development - Task IT42-019	20.000	ITE-00-21.12.2, TO IT42-019	12,334.97
Iowa State University Recommended Laboratory Test for Predicting the Initial Retroreflectivity of Pavement Markings from Glass Bead Quality	20.000	4281716(NCHRP 0438)	21,793.77
Iteris NHI Operations Courses (Iteris IDIQ)	20.000	22J09NHI (DOTFHWA6108D0036)	12,253.16
Kimley-Horn and Associates Trip-Generation Rates for Transportation Impact Analyses of Infill Developments	20.000	041108 (HR 08-66)	16.41
Kittelson and Associates Transit Data Collection and Analysis in State DOT Transit Units and a Toolkit for Next Generation Transit Data Analysis	20.000	1	44,850.23
Transit Capacity and Quality of Service Manual, 3rd Edition Incorporation of Travel Time Reliability into the Highway Capacity Manual	20.000	10800 TCRP A-15C	12,759.40
Update of the Signal Timing Manual	20.000	11000	21,531.63
Production of the Year 2010 Highway Capacity Manual	20.000	11109	6,670.17
Guidelines on the Use of Auxiliary Through Lanes at Signalized Intersections	20.000	8772	13.74
Laborers Health & Safety Fund of North America Work Zone Safety Grants	20.000	9605	998.29
Laborers Health & Safety Fund of North America Work Zone Safety Grants	20.000	06042007	145,705.17
Louisiana Department of Transportation & Development Optimization of Tack Coat for HMA Placement	20.000	736-99-1360, LTRC 06-4B	8,024.27
Marine Highways Cooperative Metropolitan Planning Organization (MPO) Maritime Information Needs Study	20.000	MHC-R-09-001	177.42
Metropolitan Transportation Authority-Long Island Rail Road TACTICS - Crew Resource MGT Safety Training Initiative	20.000	PON6583	86,416.44
Michigan Department of Transportation Developing a Congestion Mitigation Toolbox	20.000	2009-0661	152,646.39
Midwest Research Institute Design Guidance for Freeway Mainline Ramp Terminals	20.000	507-110641-1/HR15-31ATO01	38,787.12
NAS-NCR-TRB-IDEA Programs Determination of the Longitudinal Stress in Rails	20.000	SAFETY15	2,045.51

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Research and Development Cluster			
NAS-NCR-TRB-NCFRP			
Marine Highway Transport of Toxic Inhalation Hazard (TIH) Materials	20.000	HCFRP-17(001)	71,782.62
Specification for Freight Transportation Data Architecture	20.000	NCFRP12	158.75
NAS-NCR-TRB-Strategic Highway Research Program			
Identification of Utility Conflicts and Solutions	20.000	SHR R-15(B)	121,798.84
Effectiveness of Different Approaches to Disseminating Traveler Information on Travel Time Reliability	20.000	SHRP L-14	508,119.38
Using Both Infrared and High-Speed Ground Penetrating Radar for Uniformity Measurements on New HMA Layers	20.000	SHRP R-06(C)	59,806.66
High-Speed Nondestructive Testing Methods for Mapping Voids, Debonding, Delaminations, Moisture, and Other Defects	20.000	SHRP R-06G	527,309.75
National Academy of Sciences-NCR-TRB-NCHRP			
Development of Clear Recovery Area Guidelines	20.000	HR 17-11(002)	20,014.09
Enhanced Safety Prediction Methodology and Analysis Tool for Freeways and Interchanges	20.000	HR 17-45	281,261.62
Testing of Cable Median Barrier in a Narrow Ditch	20.000	HR 22-14(004)	31,473.89
Placement of Traffic Barriers on Roadside and Median Slopes	20.000	HR 22-22	585.35
Operational and Institutional Agreements that Facilitate Regional Traffic Signal Operations	20.000	HR-17-20-05(41-07)	869.01
Improved Transportation Research-in-Progress Data System	20.000	HR20-39(2)	5,376.63
Enhancing Internal Trip Capture Estimation for Mixed-Use Developments	20.000	NAS 118, HR 08-51, 14	2,076.00
Bridge Scour in Cohesive Materials	20.000	NAS 118, HR 24-15 (002)	521.50
Traffic Enforcement Strategies for Work	20.000	NAS 118, HR-03-80, 13	2,978.96
Improved Right-of-Way Procedures and Business Practices	20.000	NAS 128, HR-20-84, 4	161,311.14
Left-Turn Accommodations at Unsignalized Intersections	20.000	NAS 128,HR 03-91,TO1	41,459.96
Vehicle Size and Weight Management (VSW) Technology Transfer/Best Practices	20.000	NAS 128,HR 20-07(254)TO2	559.25
Guidelines for Cost Effective Safety Treatments of Roadside Ditches	20.000	NAS 138 TO 02, HR 16-05	164,863.54
Performance-Related Specifications for Pavement Preservation Treatments	20.000	NAS 138, TO 05, HR 10-82	148,097.12
Performance of WMA Technologies: Stage I - Moisture Susceptibility	20.000	NAS 138, TO 06, HR 09-49	154,318.82
Long-Range Strategic Issues Affecting Preservation, Maintenance, and Renewal of Highway Infrastructure	20.000	NAS 138, TO 07, HR 20-83(003)	122,584.80
Improving the Quality of Motorcycle Travel Data Collection	20.000	NAS 138, TO 13, HR 08-81	35,626.99
Guidelines for Nighttime Visibility of Overhead Guide Signs	20.000	NAS 138, TO 4, HR 05-20	40,688.70
Truck Size and Weight Research	20.000	NAS 138, TO 4, HR 07(303)	21,488.88
Effective Removal of Pavement Markings	20.000	NAS 138, TO 4, HR 14-22	92,806.88
Recent Geometric Design Research for Improved Safety, Operations, and Maintenance	20.000	NAS 138, TO 8, HR 14-22	21,179.01
Practices to Manage Traffic Sign Retroreflectivity	20.000	NAS 138, TO 9, HR 20-05 (42-12)	29,666.41
Sustainability Performance Measures for State Departments of Transportation and Other Transportation Agencies	20.000	NAS 138, TO1, HR 08-74	258,055.38
Design Guidelines for TI-3 Through TI-5 Roadside Barrier Systems Placed on Mechanically Stabilized Earth (MSE) Retaining Walls	20.000	NCHRP 22-20(002)	90,989.22
North Carolina Department of Transportation			
Deployment of the Teens in the Driver Seat - Johnston County, North Carolina	20.000	11162010	14,495.74
Ohio Department of Transportation			
Development of a TI-3 Deep Beam Tubular Backup Bridge Rail	20.000	21781, 134394	4,372.41
Rutgers University			

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Research and Development Cluster			
Introduction to Statewide Transportation Planning TPE05-30 Science Applications International Corporation	20.000	3854 PO1409855 430264	15,590.93
Increased Understanding of Driver Visibility Requirements Highway Safety Visibility Program (HSVP)	20.000	4400150454	129,887.47
Review of States' Railway-Highway Crossing Reports and Preparation of the Draft 2010 Section 130 Report to Congress	20.000	4400158706	28,083.24
Highway Safety Visibility Program (HSVP) Outreach, Technical Assistance, and Rulemaking	20.000	4400166372, T-09-006	2,687.33
Safety Support Services - Review of States' Railway- Highway Crossing Reports and Preparation of the Draft Roadway Departure Roadside Safety System Product Acceptance	20.000	P010052675 T-11-002	23,817.95
Pavement Marking Concepts	20.000	P010052675-R4, T-11-003	11,050.03
Roadway Departure Focus State Initiatives: Technical Assistance and Support	20.000	P010052675-T-11-005	2,883.69
Roadway Departure Marketing, Outreach, and Technical Transfer	20.000	TO4400128959; 4600006508	400,953.01
Systems Research and Applications Corporation (SRA) Modeling Heat and Moisture Transfer in Pavement Structure	20.000	TO4400159334-4600006508	1,371.60
University of Colorado Production of the New AASHTO Practical Guide to Estimating	20.000	TO4400166721, A4600006508	(30.00)
University of Michigan Review of Canadian & Mexican Experience with Large Commercial Motor Vehicles	20.000	S690000205, 14554.027	36,792.38
Evaluation of Pedestrian and Bicycle Safety Engineering Countermeasures	20.000	1546560, PO0000072559	10,571.15
University of North Carolina Highway Infrastructure and Operations Safety Research Needs	20.000	300783095	13,788.94
University of Texas-Austin Aggregates Research	20.000	5000002727	62,629.05
University of Wisconsin-Madison Assessing Public Benefits and Costs of Freight Transportation Projects	20.000	W005771, UNC-CH 5-43641	30,778.65
Air Cargo in the Mississippi Valley Freight Coalition Region	20.000	UTA 06-683	187.50
Virginia Polytechnic Institute and State University Development of Methodologies to Evaluate the Nighttime Safety Implications of the Roadway Visual Scene Under Varying Cognitive Task Loads	20.000	211K481	17,830.65
Wavetronix, LLC Vehicle Detection, Counting and Tracking System for Travel Surveys, Traffic Safety Systems, and Traffic Control Systems	20.000	266K630	18,907.90
Westal Best Practices for IntelliDrive Interfaces	20.000	CR19819425891	72,709.39
Interface Metrics for IntelliDrive	20.000	05102010	9,657.34
Western Research Institute Asphalt Research Consortium	20.000	8172-S-010, TO 1	332,322.25
Lee Engineering, LLC Highway Research and Development Program	20.200	8172-S-010, TO 2	6,149.70
Georgia Department of Transportation Highway Training and Education	20.215	TTI000	1,358,903.00
Perform Tech Incorporated Highway Training and Education	20.215	01-422-001	4,968.18
Rutgers University Public Transportation Research	20.514	CSSFT000900150, 0009150	85,281.00
Rutgers University	20.514	VARIOUS	39,417.32
	20.514	4165 POS1418972 430264	22,927.54

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Research and Development Cluster			
University Transportation Centers Program University of Wisconsin-Madison	20.701	3592 PO1409853 430264	29,519.60
University Transportation Centers Program Sun Grant Initiative-South Central Region	20.701	258K635	38,279.13
Biobased Transportation Research	20.761	AB-5-61770.2.TAMRF3 DAI	21,059.41
Biobased Transportation Research	20.761	AB-5-61770.TAMRF2	8,740.17
Total, U.S. Department of Transportation			<u>13,268,506.79</u>
National Aeronautics and Space Administration			
Direct Program:			
The Role of Interchange in Geomagnetic Activity	43.000	NNG06GH72G	32,113.66
Plant Growth at Sub-Ambient Atmospheric Pressures with Control of the Partial Pressures of Constituent Gases	43.000	NNJ04HF31G	36,152.15
Ground Truth and Model Validation Studies with TRMM, SSM/I, and TMPA Data	43.000	NNX07AD67G	18,017.76
Uncertainties in the Retrieval of Oceanic Rainfall from Passive Microwave Data	43.000	NNX07AD71G	39,237.70
Analysis of Tropopause Level Clouds Using Calipso, AIRS, and MIS Data	43.000	NNX07AR12G	166,369.10
QMSFRG Model Applications	43.000	NNX07AT25A	3,350.00
Maintenance of the AMSR-F Level-3 Oceanic Precipitation Algorithm	43.000	NNX08AD30G	112,348.32
Study of the Properties and Radiative Forcing of Global Ice Clouds Using the Synergetic MODIS AIRS, and Ceres Products	43.000	NNX08AF68G	64,494.48
Investigation of the Optical Properties of Horizontally Oriented Ice Crystals in Support of NASA's Calipso Project	43.000	NNX08AI94G	22,761.21
Synergy of Satellite/Surface Observations and Light- Scattering/Radiative-Transfer Modeling for Aerosol Research	43.000	NNX08AP29G	50,922.95
Estimation of Cloud Microphysics from MODIS Infrared Observations	43.000	NNX08AP57G	54,027.16
A Study of Tropospheric Water Vapor Using Aura MLS and TES Measurements	43.000	NNX08AR27G	102,243.10
Ocean Acidification of the Greater Caribbean Region 1999- 2009	43.000	NNX08AW98G	61,004.91
Research in Light Scattering and Radiative Transfer for Improving the Retrieval of Ice Cloud Properties	43.000	NNX09AP63G	54,402.42
Development of an Ensemble Kalman Filter Data Assimilation System for Martian Weather Analysis and Forecasting	43.000	NNX09AT57G	84,810.81
Participation in GPM Intersatellite Calibration and Radar Enhanced Radiometer Algorithm Working Groups	43.000	NNX10AG79G	9,007.05
TRMM Precipitation Radar Algorithm Evaluation and Model Simulator	43.000	NNX10AG89G	127,365.76
Data Analysis and Modeling Simulation in Support of NASA's Far - Infrared Spectroscopy of Troposphere (First) Project	43.000	NNX10AL53A	41,973.89
Development of an Algorithm to Retrieve the Habit and Relative Size Distribution of Ice Crystals in Cirrus Clouds	43.000	NNX10AL55G	47,046.79
Investigation of Precipitating Marine Stratocumulus Clouds in the Southeastern Pacific Using Cloudsat	43.000	NNX10AM21G	40,186.75
Measurements of Cloud Radiative Impact on the Climate Using Calipso, Cloudsat, and Other A-Train Sensors	43.000	NNX10AM27G	25,539.48
Midlevel Cloud Characterization Using A-Train Observations and the GISS GCM	43.000	NNX10AP06G	52,381.65
Science	43.001		17,518.74
Pass Through From:			
Baylor College of Medicine (NSBRI)			
Team Leader - Musculoskeletal Alterations Team	43.000	NCC9-58 42, MA01701, 3	22,797.82
PHD Training Program in Critical Areas of Space	43.000	NCC9-58-42 EO01001	172,434.04
NSBRI Website and Special Public Outreach Project Support	43.000	NCC9-58-42, A000010	81,073.19

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Research and Development Cluster			
NSBRI Website and Special Public Outreach Project Support	43.000	NCC9-58-42, AO00010, PO4600575969	35,647.12
Maintaining Musculoskeletal Health in the Lunar Environment	43.000	NCC9-58-42, MA01602, PO4600575969	247,888.36
Team Leader - Musculoskeletal Alterations Team	43.000	NCC9-58-42, MA01701, 2	30,085.13
California Institute of Technology			
Ultra-Deep MIPS Imaging of the Locksman Hole	43.000	RSA 1365085	10,466.38
Directly Probing the Star-Forming and Gas Properties of High-Redshift Lyman Alpha Galaxies	43.000	RSA 1396478 NASA NMO711085	12,248.55
Survey of Paschen Alpha in High Redshift Galaxies	43.000	RSA NO. 1344439	30,788.19
SEDS: The Spitzer Extended Deep Survey	43.000	RSA NO. 1366981	31,457.60
IRS Observations of a Strongly Lensed LIRG Behind the Bullet Cluster and the Spitzer Lyman Alpha Survey	43.000	RSA NO. 1379626	12,760.84
Jet Propulsion Laboratory			
Investigation of the Properties of Martian Atmospheric Dust and its Effect on the Illumination of the Martian Surface	43.000	1242728	29,225.20
Cloud Thermodynamic Phase Determination Using AIRS Data	43.000	1370400	1,762.10
Impacts of Cloud Type on Variability of AIRS Radiances	43.000	1384044	25,607.64
Understanding the Vertical Distribution of Water Vapor at the Phoenix Landing Site	43.000	1422184	14,517.83
Directly Probing the Star-Forming and Gas Properties of High-Redshift Lyman Alpha Galaxies	43.000	RSA 1422409	13,257.36
Directly Probing the Star-Forming and Gas Properties of High-Redshift Lyman Alpha Galaxies	43.000	RSA 1423836 NASA 711085	1,790.38
Lockheed Martin Space Operations			
LMSO Storefront Property	43.000	7200005451	1,717,098.37
Lockheed Martin Scanning Program	43.000	U730900540	18,888.93
Malin Space Science Systems			
Atmospheric Imaging Investigation for the Mars Science Laboratory Imaging Science Team	43.000	11-0156 (NMO710846)	17,790.52
North Carolina State University			
Geospatial Synthesis of Chromophoric Dissolved Organic Matter Distribution in the Gulf of Mexico for Water Clarity Decision	43.000	2009-0747-01	3,705.44
Space Telescope Science Institute			
A Detailed Analysis of Stellar Populations in Galaxies During Reionization	43.000	HST-AR-12127.01A	53,238.38
Cosmic Assembly Near-IR Deep Extragalactic Survey (CANDELS) - I	43.000	HST-AR-12127.47	16,133.28
Star Cluster Dissolution in Various Environments	43.000	HST-AR-12148.01-A	51,548.28
Narrowing in on the Hubble Constant and Dark Energy	43.000	HST-GO-11570.09-A	19,000.83
Studying Cepheid Systematics in M81: H-Band Observations	43.000	HST-GO-11731.04-A	3,999.78
United Space Alliance, LLC			
Student Intern Rates for USA	43.000	6000167439	153,080.69
University of Nebraska			
RFID & RTIS Enhancement for Inventory Management & Logistics of Space Transportation Systems	43.000	26-1111-0089-002	7,458.51
University of Wisconsin-Madison			
Refinement of Ice Cloud Bulk Optical Models: From Microphysical Measurements to Global Retrievals Using Multiple Satellite Instruments	43.000	G074605	3,206.30
Texas Engineering Experiment Station			
Science	43.001	C10-00189	34,308.59
University of Wisconsin-Madison			
Science	43.001	301K630	21,873.87
Total, National Aeronautics and Space Administration			<u>4,158,415.34</u>

National Endowment for the Arts/Humanities
Direct Program:

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Research and Development Cluster			
Promotion of the Humanities-Professional Development	45.163		210,408.30
Total, National Endowment for the Arts/Humanities			<u>210,408.30</u>
National Science Foundation			
Direct Program:			
Collaborative Research: Rapid: The Effects of Oil	47.000	CHE-1046127	11,979.18
Engineering Grants	47.041		824,451.22
Mathematical and Physical Sciences	47.049		6,742,712.82
Geosciences	47.050		2,927,297.95
Computer and Information Science and Engineering	47.070		544,462.38
Biological Sciences	47.074		3,407,266.64
Social, Behavioral, and Economic Sciences	47.075		1,012,007.11
Education and Human Resources	47.076		2,167,822.35
Polar Programs	47.078		282,237.86
Office of Cyberinfrastructure	47.080		42,200.44
ARRA: Trans-NSF Recovery Act Research Support- RECOVERY	47.082		2,452,550.66
Pass Through From:			
Ohio State University			
Towards Spin-Preserving, Heterogeneous Spin Networks	47.000	60018555	61,188.11
University of Texas-Austin			
Development of a Motion Hydraulic Delivery Telemetry System	47.000	UTA09-000484	23,143.72
Consortium for Ocean Leadership, Inc			
ARRA: American Recovery and Reinvestment Act	47.000	JSC 4-02	110,798.97
Louisiana State University			
Engineering Grants	47.041	44931	6,052.89
Princeton University			
Engineering Grants	47.041	00001214 EEC-0540832	117,757.41
University of California-Berkeley			
Engineering Grants	47.041	SA 5286-12210	104,591.20
University of Colorado-Denver			
Engineering Grants	47.041	502431	19,328.77
California Institute of Technology			
Mathematical and Physical Sciences	47.049	44E-1088029 (PHY-0919599)	182,519.55
Mathematical and Physical Sciences	47.049	68D-1086296	101,897.23
Mathematical and Physical Sciences	47.049	68D-1089182	27,306.19
Case Western Reserve			
Mathematical and Physical Sciences	47.049	RES504475; 114064 (PHY-0919261)	34,900.17
Rice University			
Mathematical and Physical Sciences	47.049	R39863- OISE-0530220	34,326.90
Mathematical and Physical Sciences	47.049	R3C75E	31,340.31
University of Delaware			
Mathematical and Physical Sciences	47.049	20653 (DMR-0906815)	48,268.81
University of Northern Iowa			
Mathematical and Physical Sciences	47.049	S5165A CHE-0715423	5,205.23
Consortium for Ocean Leadership, Inc			
Geosciences	47.050	JSC 4-02	59,907,353.82
Geosciences	47.050	SA 11-13	2,036.79
Geosciences	47.050	T321A13	1,881.91
Geosciences	47.050	T321B13	1,155.50
Geosciences	47.050	T321C13	47,957.81
Geosciences	47.050	T321D13	11,733.89
Geosciences	47.050	T323A13	3,398.80
Geosciences	47.050	T324B13	9,279.16
Geosciences	47.050	T324C13	8,115.28
Geosciences	47.050	T325A13	8,241.04
Regents of the University of California			
Geosciences	47.050	20091128101	19,111.70
Scripps Institute of Oceanography			

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Research and Development Cluster			
Geosciences	47.050	PO10314675 - SUB	58,128.90
UNAVCO: Plate Boundary Observatory	47.050	EAR 0732947-05	69,818.72
Geosciences	47.050	2500-1267-00-B	10,232.98
University of South Florida	47.050	124359	28,689.15
Geosciences	47.050	129099	57,522.15
North Carolina State University	47.074	2006-0797-02	69.10
Biological Sciences	47.074	II-RR 014195-TAMU	38,675.67
University of California-Davis	47.074	0980 G HF009/ EF-0623664	136,738.77
Biological Sciences	47.074	S-00000259	49,123.10
University of California-Los Angeles	47.074	UTA06-270	20,415.43
Biological Sciences	47.074	UTA06-271	1,767.94
Biological Sciences	47.074	UTA10-000935 (NSF IOS-1025947)	86.58
Northwestern University	47.076	PROJ0001653 (NSF DRL-0908130)	42,823.90
Education and Human Resources	47.076	X496344	86,398.67
University of Wisconsin-Madison	47.079	RUB1-2996VL-11	2,544.77
International Science and Engineering (OISE)	47.082	RF01182042	5,157.24
Ohio State University Research Foundation	47.082	S1083054 (NSF BCS-0912154)	3,570.05
ARRA: Trans-NSF Recovery Act Research Support-RECOVERY	47.082	SPO 0000073544, CU 1546775	45,926.63
University of California-Santa Cruz	47.082	UTA09-000623	3,203.43
ARRA: Trans-NSF Recovery Act Research Support-RECOVERY	47.082		<u>82,004,772.95</u>
Total, National Science Foundation			
U.S. Department of Veterans Affairs			
Pass Through From:			
Altarum Institute	64.000	PENDING	5,424.43
Evaluation of a VHA Project ARCH Pilot Program	64.000	PENDING	<u>5,424.43</u>
Total, U.S. Department of Veterans Affairs			
Environmental Protection Agency			
Direct Program:			
Deployment of Nett BlueMAX TM SCR System in TXDOT's Construction Fleet	66.000	DE-83420001	173,527.58
Auxiliary Power Unit Testing for Smartway Idle Reduction Technology Verification	66.000	EP-11-H-000527	19,862.04
Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act	66.034		66,671.23
Gulf of Mexico Program	66.475		100,221.14
Science to Achieve Results (STAR) Research Program	66.509		131,895.31
P3 Award: National Student Design Competition for Sustainability	66.516		1,479.87
Pass Through From:			
Border Environment Cooperation Commission (BECC)			

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Research and Development Cluster			
US-Mexico Border Environmental Education on Pesticides Health Effects Institute, The	66.000	TAA09-051 PID:20111 B2012 R6 2008	17,558.02
Methods to Investigate the Effects of Multiple Air Pollution Constituents	66.000	4785-FRA09-1/10-1-2	32,406.75
RFA 09-1: Methods to Investigate the Effects of Multiple Air Pollution Constituents	66.000	4785-RFA09-1/10-1	74,695.60
RTI International			
FASOMGHG Modeling of Renewable Fuels Policy	66.000	8-312-0210826 TO18 (EP-W-07-069)	20,401.22
Florida Fish & Wildlife Conservation Commission			
Gulf of Mexico Program	66.475	FWC NO. 08310	25,334.81
Texas State University			
Science to Achieve Results (STAR) Research Program	66.509	8000001508.1	3,932.60
University of Houston			
Science to Achieve Results (STAR) Research Program	66.509	R-10-0048 (RD-83428901)	624.76
Total, Environmental Protection Agency			<u>668,610.93</u>
U.S. Department of Energy			
Direct Program:			
The Institute for High Heat Flux Removal	81.000	DE-FG02-97ER54452	80,955.72
Office of Science Financial Assistance Program	81.049		5,719,986.67
ARRA: Office of Science Financial Assistance Program-RECOVERY	81.049		405,901.61
Renewable Energy Research and Development	81.087		382,799.92
Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	81.117		240,717.15
ARRA: Advanced Research and Projects Agency – Energy	81.135		508,909.76
Pass Through From:			
Argonne National Laboratory			
Tandem Differential Mobility Analyzer/Aerodynamic Particle Sizer Support	81.000	0F-34561	37,213.33
Tandem Differential Mobility Analyzer/Aerodynamic Particle Sizer Support	81.000	9F-31881	7,103.11
Battelle Energy Alliance, LLC			
Riverine and Lacustrine Adaptations: 2010 Idaho National Laboratory (INL) Archaeological Testing Project	81.000	00106760	1,850.45
Battelle-Pacific N.W. Division			
Quantifying Protein Abundance from Mass Spectrometry Experiments Using the AMT Tag Pipeline	81.000	72845	103,918.55
Participation in the DOE Racoro Field Campaign	81.000	77816 (DE-AC05-76RL01830)	2,665.56
Brookhaven National Laboratory			
Investigation of Cloud and Precipitation Processes Using WRE with a Two-Moment Microphysics	81.000	180747	14,178.05
Duke University			
Warming and Rainfall Redistribution Effects on Linkages Between Plant Functional Traits and Ecosystem Processes in Oak Savanna	81.000	07-SC-NICCR-1061	9,311.24
Fermi National Accelerator Laboratory			
Grad Student Support from Fermi National Accelerator Laboratory	81.000	582128	1,419.19
US CMS Upgrade R&D M&O Subsystem	81.000	584808	108,437.11
US CMS Endcap Muon M&O Subsystem	81.000	584823	57,738.17
Super CDMS Soudan Project	81.000	592192	172,252.95
US CMS HCAL Subsystem	81.000	593024	12,611.76
Spectrophotometric Calibration System for the Dark Energy Survey Camera	81.000	596588	178,055.75
Postdoctoral Support for Daniel Goldin	81.000	598229	3,590.95
Reeves and Sons, LLC			

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Research and Development Cluster			
Multi-Chamber Gas Proportional Counter for Screening Ultra-Low Background Materials & Identifying Radioactive Materials	81.000	11162007-DE-FG02-06ER86287	37,725.72
Sandia National Laboratories Graduate Research Fellowship	81.000	942500, R1	22,854.92
Nanoscale Characterization of Type-II Strained-Layer Superlattice Defects	81.000	994825	2,130.81
Nanoscale Characterization of Bandgap Engineered III-V Superlattices and Quantum Wells	81.000	PO1123033, 1	23,324.92
Savannah River Nuclear Solutions, LLC Separation of Americium from Curium by Ion Exchange	81.000	AC70059-O (DOE: DE-AC09-08SR22470)	66,056.16
Brown University Office of Science Financial Assistance Program	81.049	08112008	20,443.77
Duke University Office of Science Financial Assistance Program	81.049	09112007-07-SC-NICCR-1058	54,450.35
Louisiana State University Office of Science Financial Assistance Program	81.049	41922	138,914.33
Office of Science Financial Assistance Program	81.049	7261 (C175635)	41,296.83
Michigan State University Office of Science Financial Assistance Program	81.049	61-3242 TAM	34,651.14
University of California-Berkeley Office of Science Financial Assistance Program	81.049	00006785 (DE-SC0001015)	157,275.62
North Central Sun Grant Initiative Regional Biomass Energy Programs	81.079	3TM160 (DE-FG36-08GO88073)	296,156.79
Total, U.S. Department of Energy			<u>8,944,898.36</u>
U.S. Department of Education			
Direct Program:			
Undergraduate International Studies and Foreign Language Programs	84.016		41,435.68
Fund for the Improvement of Postsecondary Education	84.116		128,012.54
Education Research, Development and Dissemination	84.305		80,342.12
Research in Special Education	84.324		388,335.22
Transition to Teaching	84.350		680,453.93
School Leadership	84.363		507,276.72
Pass Through From:			
University of Wisconsin-Madison Fund for the Improvement of Postsecondary Education	84.116	291K981	8,409.23
University of Texas-Austin Mathematics and Science Partnerships	84.366	UTA09-001170 (090237047110002)	56,446.44
Mathematics and Science Partnerships	84.366	UTA10000340	134,264.98
Mathematics and Science Partnerships	84.366	UTA11-000232	241.68
Total, U.S. Department of Education			<u>2,025,218.54</u>
U.S. Department of Health and Human Services			
Direct Program:			
Stem-Like Cancer Cells in Breast Tumorigenesis	93.000	1 R01 CA113750-01	1,215.57
Biochemical and Molecular Analysis of Schwachman-Diamond Syndrome	93.000	1R21DK078571	351.70
Role of Sensory Neuropeptides in the Regulation of Biliary Function	93.000	1R01DK07689801A2	197,445.56
Fibronectin Binding MSCRAMMS	93.000	2R01AR044415-10A2	435,908.83
Genetic and Molecular Study of Melotic Transvection	93.000	2R01GM058770-06A2	25,613.43
Regulation of Circadian Transcription	93.000	2R01NS052854-05A1	360,411.52
Growth Regulation of the Intrahepatic Biliary Tree	93.000	5R01DK05481106A2	183,616.72
Special Programs for the Aging-Title IV-and Title II-Discretionary Projects	93.048		427,799.61
Public Health Emergency Preparedness	93.069		643,121.21
Environmental Health	93.113		331,150.43
Oral Diseases and Disorders Research	93.121		2,829,590.10

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Research and Development Cluster			
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135		1,064,205.95
Research Related to Deafness and Communication Disorders	93.173		916,238.49
Human Health Studies-Applied Research and Development	93.206		233,246.35
Contraception and Infertility Research Loan Repayment Program	93.209		169,202.22
Research and Training in Complementary and Alternative Medicine	93.213		28,502.34
Research on Healthcare Costs, Quality and Outcomes	93.226		231,845.87
Grants for Dental Public Health Residency Training	93.236		496.80
Mental Health Research Grants	93.242		725,587.15
Occupational Safety and Health Program	93.262		72,658.70
Alcohol Research Programs	93.273		1,057,401.82
Drug Abuse and Addiction Research Programs	93.279		486,614.02
Centers for Disease Control and Prevention-Investigations and Technical Assistance	93.283		46,831.73
Minority Health and Health Disparities Research	93.307		1,711,131.57
Trans-NIH Research Support	93.310		38,132.93
National Center for Research Resources	93.389		2,045,809.04
Cancer Cause and Prevention Research	93.393		1,201,198.94
Cancer Treatment Research	93.395		784,023.21
Cancer Biology Research	93.396		500,017.83
Cancer Research Manpower	93.398		637,848.97
ARRA: Public Health Traineeship Program-RECOVERY	93.405		26,480.65
University Centers for Excellence in Developmental Disabilities Education, Research, and Service	93.632		2,001.31
ARRA: Trans-NIH Recovery Act Research Support-RECOVERY	93.701		4,680,203.43
ARRA: Health Information Technology Regional Extension			
Centers Program-RECOVERY	93.718		1,154,635.99
Health Careers Opportunity Program	93.822		804,980.99
Cardiovascular Diseases Research	93.837		3,546,405.58
Lung Diseases Research	93.838		154,550.54
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		162,015.31
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		1,500,222.15
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		2,130,849.34
Allergy, Immunology and Transplantation Research	93.855		3,697,817.73
Biomedical Research and Research Training	93.859		6,725,668.93
Child Health and Human Development Extramural Research	93.865		972,843.96
Aging Research	93.866		374,332.56
Vision Research	93.867		855,453.82
Grants for Training in Primary Care Medicine and Dentistry	93.884		154,444.51
Public Health Traineeships	93.964		21,622.00
Pass Through From:			
American Institutes for Research			
Standardized Antibiotic Use in Long-Term Care Settings (SAUL) Study	93.000	02434.008 (HSA2902006000191T.O.8)	124,627.06
Science Applications International Corporation			
S10-38: Delivery of Brefeldin A with Triazine Dendrimers	93.000	10XS108	38,341.76
University of California-Irvine			
Fitness Annotation of Bacterial Genomes	93.000	2010-2517	19,273.10
University of Colorado-Denver			
IHS Coordinating Center	93.000	11.001.006	25,650.45
University of Texas-Health Science Center-Houston			
Genes, Hormones, Growth and Body Fat; Project Heartbeat	93.000	0005979A, 3	16,564.85
University of Texas-Southwestern Medical Center			
North and Central Texas Clinical and Translational Science Initiative	93.000	GMO801124, 4	77,094.14

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Federal Grantor/Pass Through Grantor/Program Title	CFDA Number	Identifying Number	Total Pass Through and Expenditure
Research and Development Cluster			
Washington University, St. Louis Integrated Nanosystems for Diagnosis and Therapy	93.000	PO NO.2911033P, NO.WU-11-71	405,844.50
National Council on Aging ARRA: Special Programs for the Aging-Title IV-and Title II- Discretionary Projects-RECOVERY	93.048	08192010	33,809.12
Old Dominion University Research Foundation Environmental Health	93.113	10-212-396531	9,486.22
Custom Scientific Oral Diseases and Disorders Research	93.121	09092005	998.13
Dental Designs Dallas Oral Diseases and Disorders Research	93.121	2R44DE01782902A1	130,475.09
Microtransponder Oral Diseases and Disorders Research	93.121	052609	2,727.58
University of Mississippi Medical Center Oral Diseases and Disorders Research	93.121	66983 TAMU03 S0014109	34,667.55
University of Texas-Arlington Oral Diseases and Disorders Research	93.121	2616016162 5U01DE01071314	30,726.62
Washington University Human Genome Research	93.172	WU10281	19,235.94
Stanford University Research Related to Deafness and Communication Disorders	93.173	210591237668A (2R01DC00389611)	48,522.69
University of California-Los Angeles Mental Health Research Grants	93.242	0130GGC638	60,566.49
University of Texas-Health Science Center-Houston Public Health Training Centers Grant Program	93.249	0004958B	14.25
Public Health Training Centers Grant Program	93.249	0007939A (UB6HP20188)	162,733.52
University of Texas-Health Science Center-Houston Occupational Safety and Health Program	93.262	0004905CC (3T42OH008421-05S2)	7,889.04
Occupational Safety and Health Program	93.262	0006008A	19,013.02
Public Health Research Institute Alcohol Research Programs	93.273	1015640 (NIH R01AA018365)	215,851.92
San Diego State University Foundation Alcohol Research Programs	93.273	53253FP16607809211	(16,807.76)
Alcohol Research Programs	93.273	53253GP16607809211	140,613.19
University of Texas-Health Science Center-Houston Alcohol Research Programs	93.273	0006493 (1R01AA016827-02)	1,990.04
March of Dimes Birth Defects Foundation Centers for Disease Control and Prevention-Investigations and Technical Assistance	93.283	080198	11,323.81
Stanford University Centers for Disease Control and Prevention-Investigations and Technical Assistance	93.283	27177490-50754-C (6U01DD000489)	58,976.46
University of Texas-M.D. Anderson Cancer Center Minority Health and Health Disparities Research	93.307	2914898017819	19,893.69
Minority Health and Health Disparities Research	93.307	31774/98017816	67,931.66
Southern Illinois University Cancer Cause and Prevention Research	93.393	520354, PO95963	28,125.54
University of Texas-M.D. Anderson Cancer Center Cancer Centers Support Grants	93.397	25229/98015674	5,171.09
Cancer Centers Support Grants	93.397	32603/98015674	88,927.79
Texas Council for Developmental Disabilities Developmental Disabilities Basic Support and Advocacy Grants	93.630	09-365	4,444.91
Developmental Disabilities Basic Support and Advocacy Grants	93.630	09-377	441.87
Developmental Disabilities Basic Support and Advocacy Grants	93.630	10-577	71,443.46
Dartmouth College			

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Federal Grantor/Pass Through Grantor/Program Title	CFDA Number	Identifying Number	Total Pass Through and Expenditure
Research and Development Cluster			
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY Harvard University	93.701	643	81,750.15
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY Scott and White Healthcare	93.701	149064.0106 (1RC2GM092616-01)	35,399.38
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY University of Kentucky	93.701	090651 (1RC4AG03818301)	16,543.21
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY	93.701	3048106580-10-152	4,266.57
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY University of South Florida	93.701	3048107714-11-127	36,975.21
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY University of Texas-M.D. Anderson Cancer Center	93.701	6144104000A	26,587.51
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY	93.701	28242 98013926	69,460.01
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY Vaccine Research Institute of San Diego (VRISD)	93.701	32958/98013924	81,663.01
ARRA: Trans-NIH Recovery Act Research Support- RECOVERY Children's Hospital Medical Center, Cincinnati, Ohio	93.701	10102009	48,270.17
Cardiovascular Diseases Research Cornell Univ.-Joan & Sanford I. Weill Medical College	93.837	104730 (AR21HL089524)	1,534.45
Cardiovascular Diseases Research	93.837	08081030 (1R01HL96575-01)	42,883.02
Cardiovascular Diseases Research Medical University of South Carolina	93.837	8081030	24,104.85
Cardiovascular Diseases Research University of Florida-Gainesville	93.837	MUSC09-104	257,065.16
Cardiovascular Diseases Research University of Missouri	93.837	UF10091 (R18HL087800)	7,014.44
Cardiovascular Diseases Research	93.837	C000161431	16,754.41
Cardiovascular Diseases Research University of Washington	93.837	C00017558-1	2,101.46
Cardiovascular Diseases Research Washington State University	93.837	701686	39,893.34
Cardiovascular Diseases Research Washington University, St. Louis	93.837	100931 G002802	14,336.53
Cardiovascular Diseases Research Tulane University	93.837	WU-HT-10-38/PO2911872A	36,330.36
Lung Diseases Research Medical College of Wisconsin	93.838	11122008 5P01HL075161-04	139,151.07
Blood Diseases and Resources Research University of Utah	93.839	5U54HL090503-03	24,250.99
Blood Diseases and Resources Research University of Missouri	93.839	1000782304 (5R01HL08570702)	2,036.44
Arthritis, Musculoskeletal and Skin Diseases Research University of North Carolina-Chapel Hill	93.846	25763 00030515	121,860.79
Arthritis, Musculoskeletal and Skin Diseases Research Washington University, St. Louis	93.846	5-31135	17,000.47
Diabetes, Digestive, and Kidney Diseases Extramural Research University of California-San Francisco	93.847	WU-11-54 PO 2910814P	30,742.86
Extramural Research Programs in the Neurosciences and Neurological Disorders University of Texas-M.D. Anderson Cancer Center	93.853	5991SC	100,976.59

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Federal Grantor/Pass Through Grantor/Program Title	CFDA Number	Identifying Number	Total Pass Through and Expenditure
Research and Development Cluster			
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	31125/98011276	30,878.78
Boston University Allergy, Immunology and Transplantation Research	93.855	RA208356NGO	33,658.26
Bumham Institute Allergy, Immunology and Transplantation Research	93.855	5 RO1 AI066244-04	53,353.74
Cornell Univ.-Joan & Sanford I. Weill Medical College Allergy, Immunology and Transplantation Research	93.855	10091340 (R01AI092573)	95,240.91
Indiana University Allergy, Immunology and Transplantation Research	93.855	4624838, POEP391212	193,351.53
Integrated Biotherapeutics, Inc. Allergy, Immunology and Transplantation Research	93.855	06032010 (1R43AI085665-01)	32,881.19
Allergy, Immunology and Transplantation Research	93.855	6032010	9,837.89
Nanorelease Technologies, LLC Allergy, Immunology and Transplantation Research	93.855	01262009	20,925.35
University of California-Irvine Allergy, Immunology and Transplantation Research	93.855	08252011	5,211.68
Allergy, Immunology and Transplantation Research	93.855	20082034	66,262.87
Allergy, Immunology and Transplantation Research	93.855	2008-2034	39.18
University of California-Merced Allergy, Immunology and Transplantation Research	93.855	1R217AI079777-01	37,256.00
University of Oklahoma Health Science Center Allergy, Immunology and Transplantation Research	93.855	RS20110200-01 (2R01AI037657-15)	37,996.33
Allergy, Immunology and Transplantation Research	93.855	UHSC-RS20052254-01	67,278.01
University of Texas-Health Science Center-Houston Allergy, Immunology and Transplantation Research	93.855	0007356A	26,455.71
Allergy, Immunology and Transplantation Research	93.855	0007356A	39,807.48
University of Texas-M.D. Anderson Cancer Center Allergy, Immunology and Transplantation Research	93.855	28349 98115611	973.10
Allergy, Immunology and Transplantation Research	93.855	33126/98115608	145,442.52
Allergy, Immunology and Transplantation Research	93.855	33126/98115611	12,038.89
Allergy, Immunology and Transplantation Research	93.855	33126/98215609	168,724.35
University of Texas-Medical Branch of Galveston Allergy, Immunology and Transplantation Research	93.855	10-059	124,648.84
Allergy, Immunology and Transplantation Research	93.855	10-072 (NIH 5U54AI07156-07)	124,282.97
Allergy, Immunology and Transplantation Research	93.855	11-045 (5U54AI057156-08)	33,052.24
Allergy, Immunology and Transplantation Research	93.855	11-062 (POUOPSPC-0000000588)	168,582.13
Baylor College of Medicine Microbiology and Infectious Diseases Research	93.856	4600550773	347,208.68
Biolog, Inc. Microbiology and Infectious Diseases Research	93.856	10292007-NIH 2R42GM 073965-02A1	8,067.61
Colorado State University Microbiology and Infectious Diseases Research	93.856	G-4401-1 (P318238)	269,895.04
Johns Hopkins University Microbiology and Infectious Diseases Research	93.856	N01-AI-30036 2000010032	15,096.00
University of Texas-Medical Branch of Galveston Microbiology and Infectious Diseases Research	93.856	10-060	36,222.62
Dartmouth College Biomedical Research and Research Training	93.859	578	424,510.31
Biomedical Research and Research Training	93.859	579	99,969.90
Ohio State University Research Foundation Biomedical Research and Research Training	93.859	60027355 (NIH R01 GM088670)	45,215.30
Purdue University Biomedical Research and Research Training	93.859	06192008-GM077905-01	(32,480.05)
SRI International Biomedical Research and Research Training	93.859	27-001349	(0.01)
Biomedical Research and Research Training	93.859	69-000512	28,715.00
University of Alabama-Birmingham Biomedical Research and Research Training	93.859	000292429-002	42,766.95

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Federal Grantor/Pass Through Grantor/Program Title	CFDA Number	Identifying Number	Total Pass Through and Expenditure
Research and Development Cluster			
University of Hawaii, Research Corporation of Biomedical Research and Research Training	93.859	POZ882698 GM083158-01	69,967.84
University of Illinois Biomedical Research and Research Training	93.859	2009-01303-02	391,982.67
Biomedical Research and Research Training	93.859	2010-00365-04	89,667.59
Biomedical Research and Research Training	93.859	2010-00365-04	221,963.71
University of Southern California Biomedical Research and Research Training	93.859	H47299	320,917.98
University of Louisville Research Foundation Child Health and Human Development Extramural Research	93.865	OGMB070988	(752.82)
University of Texas-Health Science Center-Houston Child Health and Human Development Extramural Research	93.865	0007093A (R01HD039195)	15,167.94
University of Florida-Gainesville Aging Research	93.866	UF11058 RO1AG029421	17,996.05
University of Missouri Aging Research	93.866	C000324731	39,423.99
University of California-Davis Vision Research	93.867	PENDING	3,168.00
University of Texas-San Antonio Geriatric Education Centers	93.969	131949/131473	16,742.95
Texas Department of State Health Services Maternal and Child Health Services Block Grant to the States	93.994	2010034879001	125,585.44
Total, U.S. Department Health and Human Services			<u>51,722,387.29</u>
U.S. Department of Homeland Security			
Direct Program:			
2-1-1 Texas Database Analysis for Katrina-Rita Community Needs, 2005 (Option Year 2)	97.000	HSHQDC08P00161	180,615.68
2-1-1 Texas Database Analysis for Katrina-Rita Community Needs, 2005 (Option Year 1)	97.000	HSHQDC08P00161	9,233.58
Assistance to Firefighters Grant	97.044		12,883.39
Pass Through From:			
Texas Southern University Centers for Homeland Security	97.061	DHSJOST061-TSCOE-PROJECTS	40,889.35
Total, U.S. Department of Homeland Security			<u>243,622.00</u>
United States Agency for International Development			
Direct Program:			
USAID Foreign Assistance for Programs Overseas	98.001		1,381,837.20
Pass Through From:			
Booz Allen and Hamilton, Inc USAID Kosovo Private Enterprise Project (KPEP) Road Construction Company Needs Assessment and Construction Law Commentary - Kosovo Private Enterprise Program	98.000	97687XSBOF, 2	14,046.77
Improve Road Design Capabilities of Local Road Design Companies Kosovo Private Enterprise Program	98.000	97687XSBOF, 1	29,365.41
Assessment of Capacity Building Needs of Kosovo Road Design and Construction Firms	98.000	97687XSBOF, 3	100,927.86
	98.000	AWARD CANCELLED	(6,648.55)
Michigan State University Increasing Utilization of Beans/Cowpeas to Promote Health in Malawi and Kenya	98.000	61-2858	233,484.97
University of Nebraska USAID Foreign Assistance for Programs Overseas	98.001	25-6805-0016-906 (TAM 101)	61,552.01
USAID Foreign Assistance for Programs Overseas	98.001	25-6805-0016-906 (TAM 103)	125,579.98
University of Georgia USAID Development Partnerships for University Cooperation and Development	98.012	RC710-025/3842068	146,776.35

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Federal Grantor/Pass Through Grantor/Program Title	CFDA Number	Identifying Number	Total Pass Through and Expenditure
<i>Research and Development Cluster</i>			
USAID Development Partnerships for University Cooperation and Development	98.012	RC710-025/3842438	78,435.83
Total, United States Agency for International Development			<u>2,165,357.83</u>
Total, Research and Development Cluster			<u>182,465,309.90</u>
Total, Federal Awards Expended			<u>182,465,309.90</u>
<i>State of Texas Research and Development Cluster</i>			
Texas Department of Agriculture		TB-8031-08	49,998.04
Texas Department of Transportation		50-1XXIA020	73,376.69
Cancer Prevention and Research Institute of Texas		RP100781	271,858.37
Cancer Prevention and Research Institute of Texas		PP100203	126,660.42
Cancer Prevention and Research Institute of Texas		10-50	43.20
Cancer Prevention and Research Institute of Texas		10-41	25,998.85
Cancer Prevention and Research Institute of Texas		PP110036	63,572.71
Cancer Prevention and Research Institute of Texas		RP110555	16,243.94
Total, State of Texas Awards Expended			<u>627,752.22</u>
Total, Federal and State of Texas Awards Expended			<u>183,093,062.12</u>

Summary of American Recovery and Reinvestment Act (ARRA) Funding included above

National Science Foundation	2,621,206.98
U.S. Department of Energy	914,811.37
U.S. Department of Health and Human Services	6,296,044.41
U.S. Department of Justice	133,172.89
Total ARRA Funding	<u>9,965,235.65</u>

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Subrecipients	CFDA Number	Amount Provided to Subrecipients
Federal Grantor/Pass Through Grantor/Program Title		
U.S. Department of Agriculture		
Grants for Agricultural Research-Special Research Grants	10.200	40,912.06
Grants for Agricultural Research-Competitive Research Grants	10.206	11,336.25
International Science and Education Grants	10.305	19,200.00
Specialty Crop Research Institute	10.309	131,552.29
Agriculture and Food Research Initiative (AFRI)	10.310	144,530.50
Total, U.S. Department of Agriculture		347,531.10
U.S. Department of Commerce		
Fisheries Development and Utilization Research and Development		
Grants and Cooperative Agreements Program	11.427	7,084.90
Undersea Research	11.430	25,141.22
Special Oceanic and Atmospheric Projects	11.460	1,950.57
Applied Meteorological Research	11.468	47,966.25
Coastal Services Center	11.473	383,959.48
Center for Sponsored Coastal Ocean Research-Coastal Ocean Program	11.478	2,382.91
Total, U.S. Department of Commerce		468,485.33
U.S. Department of Defense		
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 6		
Long-Term Monitoring of Human Impacts at McMurdo Station, Antarctica - Phase 7	12.000	36,031.50
Aquatic Plant Control	12.100	1,198.14
Basic and Applied Scientific Research	12.300	8,743.28
Military Medical Research and Development	12.420	378,863.77
Basic Scientific Research	12.431	588,669.62
Total, U.S. Department of Defense		1,037,528.31
U.S. Department of Justice		
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	13,267.95
Total, U.S. Department of Justice		13,267.95
U.S. Department of Transportation		
Traffic Enforcement Strategies for Work	20.000	2,530.66
Evaluation of Pedestrian and Bicycle Safety Engineering Countermeasures	20.000	26,396.21
Pavement Marking Concepts	20.000	71,670.78
Transportation Planning Analysis Technology Transfer and Program Support for the Travel Model Improvement Program (TMIP)	20.000	22,149.02
Asphalt Research Consortium	20.000	498,048.92
Enhanced Safety Prediction Methodology and Analysis Tool for Freeways and Interchanges	20.000	18,735.06
Modeling of Hot Mix Asphalt (HMA) Compaction	20.000	45,178.00
Development of Clear Recovery Area Guidelines	20.000	4,397.00
BAA FHWA 2008 Transportation Planning Cooperative Research-Full Proposal Congestion Management	20.000	509.02
Sustainability Performance Measures for State Departments of Transportation and Other Transportation Agencies	20.000	149,838.82
Effectiveness of Different Approaches to Disseminating Traveler Information on Travel Time Reliability	20.000	90,358.13
High-Speed Nondestructive Testing Methods for Mapping Voids, Debonding, Delaminations, Moisture, and Other Defects	20.000	129,148.63
Improved Right-of-Way Procedures and Business Practices	20.000	23,897.39
Guidelines for Cost Effective Safety Treatments of Roadside Ditches	20.000	54,436.00

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Subrecipients	CFDA Number	Amount Provided to Subrecipients
Federal Grantor/Pass Through Grantor/Program Title		
Long-Range Strategic Issues Affecting Preservation, Maintenance, and Renewal of Highway Infrastructure	20.000	11,161.07
Best Practices for IntelliDrive Interfaces	20.000	57,990.53
Evaluation of Pedestrians Safety Engineering Countermeasures at Urban and Suburban Midblock Crossing Locations	20.000	4,946.00
Marine Highway Transport of Toxic Inhalation Hazard (TIH) Materials	20.000	6,475.00
Improving the Quality of Motorcycle Travel Data Collection	20.000	2,917.50
University Transportation Centers Program	20.701	1,359,223.33
Biobased Transportation Research	20.761	1,008.00
Total, U.S. Department of Transportation		<u>2,581,015.07</u>
National Aeronautics and Space Administration LMSO Storefront Property	43.000	1,452,195.57
Total, National Aeronautics and Space Administration		<u>1,452,195.57</u>
National Endowment for the Arts/Humanities Promotion of the Humanities-Professional Development	45.163	13,791.00
Total, National Endowment for the Arts/Humanities		<u>13,791.00</u>
National Science Foundation Mathematical and Physical Sciences	47.049	14,840.50
Geosciences	47.050	124,749.82
Biological Sciences	47.074	73,227.91
Education and Human Resources	47.076	490,281.75
Polar Programs	47.078	19,944.75
ARRA: Trans-NSF Recovery Act Research Support-RECOVERY	47.082	10,453.89
Total, National Science Foundation		<u>733,498.62</u>
Environmental Protection Agency RFA 09-1: Methods to Investigate the Effects of Multiple Air Pollution Constituents	66.000	21,826.37
Total, Environmental Protection Agency		<u>21,826.37</u>
U.S. Department of Energy Regional Biomass Energy Programs	81.079	53,485.93
Total, U.S. Department of Energy		<u>53,485.93</u>
U.S. Department of Education Research in Special Education	84.324	145,469.59
Transition to Teaching	84.350	416,130.18
School Leadership	84.363	232,552.80
Total, U.S. Department of Education		<u>794,152.57</u>
U.S. Department of Health and Human Services Role of Sensory Neuropeptides in the Regulation of Biliary Function	93.000	46,943.75
Growth Regulation of the Intrahepatic Biliary Tree	93.000	15,105.62
Public Health Emergency Preparedness	93.069	300,430.60
Environmental Health	93.113	81,301.32
Oral Diseases and Disorders Research	93.121	21,493.60
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135	77,449.83
Human Health Studies-Applied Research and Development	93.206	116,584.65
Research on Healthcare Costs, Quality and Outcomes	93.226	48,002.83
Mental Health Research Grants	93.242	236,384.15
Drug Abuse and Addiction Research Programs	93.279	108,949.34
Minority Health and Health Disparities Research	93.307	116,458.11
National Center for Research Resources	93.389	310,747.80

Texas A&M Research Foundation
Schedule of Expenditures of Federal and State Awards
For the Year Ended August 31, 2011

Subrecipients	CFDA Number	Amount Provided to Subrecipients
Federal Grantor/Pass Through Grantor/Program Title		
Cancer Cause and Prevention Research	93.393	8,620.76
ARRA: Trans-NIH Recovery Act Research Support-RECOVERY	93.701	300,731.26
ARRA: Health Information Technology Regional Extension Centers Program-RECOVERY	93.718	48,334.00
Cardiovascular Diseases Research	93.837	96,406.37
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	294,802.75
Allergy, Immunology and Transplantation Research	93.855	602,176.93
Biomedical Research and Research Training	93.859	1,144,389.31
Child Health and Human Development Extramural Research	93.865	168,278.00
Aging Research	93.866	16,659.05
Cancer Cause and Prevention Research	93.393	10,348.81
Total, U.S. Department Health and Human Services		<u>4,170,598.84</u>
U.S. Department of Homeland Security 2-1-1 Texas Database Analysis for Katrina-Rita Community Needs, 2005 (Option Year 2)	97.000	24,083.69
Total, U.S. Department of Homeland Security		<u>24,083.69</u>
United States Agency for International Development Increasing Utilization of Beans/Cowpeas to Promote Health in Malawi and Kenya	98.000	73,200.00
USAID Foreign Assistance for Programs Overseas	98.001	203,693.65
Total, United States Agency for International Development		<u>276,893.65</u>
Total Amount Provided to Subrecipients		<u>11,988,354.00</u>

**TEXAS A&M RESEARCH FOUNDATION
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL
AND STATE AWARDS
YEAR ENDED AUGUST 31, 2011**

SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

General – The accompanying schedule of expenditures of federal and state awards presents all federal and state expenditures of the Texas A&M Research Foundation (the “Foundation”).

Basis of Accounting – The expenditures on the accompanying schedule of expenditures of federal and state awards are presented using the cash basis of accounting. Under the cash basis method of accounting, revenue and expense are recorded in the books of account when received and paid, respectively, without regard to the period to which they apply.

Relationship to Financial Statements – Expenditures of federal and state awards are reported in the Foundation’s financial statements on the accrual basis. Following is a reconciliation between the financial statements and the Schedule of Expenditures of Federal and State Awards as of August 31, 2011.

Sponsored research expense in Statement of Activities, August 31, 2011	\$218,526,237
Non-federal/non State of Texas expenditures	(37,265,927)
Research equipment purchased and capitalized during the year	4,402,041
Other	<u>(2,569,289)</u>
Total Expenditures per Schedule of Expenditures of Federal and State Awards, August 31, 2011	<u>\$183,093,062</u>

Relationship to Federal and State Financial Reports – Amounts reported in the accompanying schedule of expenditures of federal and state awards agree with the amounts reported in the related federal and state financial reports in all significant respects.

**TEXAS A&M RESEARCH FOUNDATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED AUGUST 31, 2011**

SECTION I – SUMMARY OF AUDITORS’ RESULTS

Financial Statements

Type of auditors’ report issued: *unqualified*

Internal control over financial reporting:

- Material weakness(es) identified? yes X no

- Significant deficiency(s) identified
that are not considered to be
material weaknesses? yes X reported none

- Noncompliance material to financial
statements noted? yes X no

Federal and State Awards

Internal control over major programs:

- Material weakness(es) identified? yes X no

- Significant deficiency(s) identified
that are not considered to be
material weaknesses? yes X reported none

Type of auditors’ report issued on compliance for major
programs: *unqualified*

- Any audit findings disclosed that
are required to be reported in
accordance with section 510(a) of Circular
A-133? yes X no

Identification of major programs:

<i>CFDA Number(s)</i>	<i>Name of Federal Program or Cluster</i>
<u>N/A</u>	<u>Research and Development Cluster</u>
<i>Identifying Number(s)</i>	<i>Name of State Program or Cluster</i>
<u>N/A</u>	<u>State of Texas Research & Development Cluster</u>

Dollar threshold used to distinguish between
Federal type A and Federal type B programs: \$3,000,000

Dollar threshold used to distinguish between
State type A and State type B programs: \$300,000

Auditee qualified as low-risk auditee? yes no

SECTION II – FINANCIAL STATEMENT FINDINGS

There were no findings related to the financial statements which are required to be reported in accordance with *Government Auditing Standards*.

SECTION III – FEDERAL AND STATE AWARD FINDINGS AND QUESTIONED COSTS

There were no Federal or State award findings and questioned costs which are required to be reported in accordance with Section 510(a) of Circular A-133 or the State of Texas Single Audit Circular.

**TEXAS A&M RESEARCH FOUNDATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED AUGUST 31, 2010
STATUS OF PRIOR YEAR FINDINGS AND QUESTIONED COSTS**

FINANCIAL STATEMENT FINDINGS

There were no findings related to the financial statements which were required to be reported in accordance with *Government Auditing Standards*.

FEDERAL AND STATE AWARD FINDINGS AND QUESTIONED COSTS

There were no Federal or State award findings and questioned costs which are required to be reported in accordance with Section 510(a) of Circular A-133 or the State of Texas Single Audit Circular.